

**DECLARATION BY SOLE PROPRIETOR (SOLE PROPRIETORSHIP)**

*(To be Obtained on Pre-Printed Letter head of the firm)*

To,  
**ADROIT FINANCIAL SERVICES PRIVATE LTD.**

Dated :.....

401-402, 4th Floor, Angel Mega Mall,  
Plot No. CK-I, Kaushambi, Ghaziabad-201010

Dear Sir/Madam

I refer to the trading account opened with you in the name of \_\_\_\_\_ and declare and authorize you as under:

I recognize that a beneficiary account cannot be opened with a depository participant in the name of a sole proprietorship firm as per regulations. To facilitate the operation of the above trading account with you and for the purpose of completing the share transfer obligations pursuant to the trading operations, I authorize you to recognize the beneficiary account no. \_\_\_\_\_ with the depository \_\_\_\_\_ opened in the name of the undersigned who is the sole proprietor of the firm.

I agree that the obligation for shares purchased and/or sold by the firm will be handled and completed through transfer (s) to/from the above mentioned account. I recognize and accept transfer made by you to the aforesaid beneficiary account as completion of obligations by you in respect of trade executed in the above trading account of the firm.

Further I, the undersigned, am the sole proprietor of the firm and am solely responsible for the liabilities thereof. I shall advise you in writing of any change that take place in the constitution of the firm and will be personally liable to you for all the obligation that the firm may incur in the course of dealings with you and undertake to personally discharge such liabilities.

\*The cheques/DDs (electronic/physical) shall be issued by me from my individual account with any bank and the amounts so given shall be solely/exclusively for credit to the account of my sole proprietorship firm M/s . \_\_\_\_\_ with your company.

Yours truly,

Signature of Proprietor:  \_\_\_\_\_

Name of Proprietor: \_\_\_\_\_

\*Applicable only in case of Sole Proprietorship Firm.

**DECLARATION FOR DELIVERING TRADE CONFIRMATIONS VIA SMS**

To,  
**ADROIT FINANCIAL SERVICES PRIVATE LTD.**

401-402, 4th Floor, Angel Mega Mall,  
Plot No. CK-I, Kaushambi, Ghaziabad-201010

I/We hereby agree and give my/our consent for sending the trade confirmations via SMS and I/We have also understood that we will not receive the telephonic trade confirmations. For this purpose, I/We would like to confirm following details for the database maintained with you.

Please update the records with the same.

MOBILE No. : -----

Thanking you,

Yours faithfully,

Signature  \_\_\_\_\_

Name : \_\_\_\_\_