

Sl. No. _____

Comtrack-Client Registration Form

(Individual)

Client Name		Client Code	
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CMSD ID	
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Comtrack Internal Ref. No.		Br. No.	
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R.L.P SECURITIES PRIVATE LIMITED

Corporate Member : NSE, BSE& MCX-SX
Comtrack Participant ID : 50163

Depository Participant : CDSL
Comtrack Participant : NCDEX

Regd. Office: 402, Nirmal Towers, Dwarakapuri Colony Punjagutta, Hyderabad – 500082.
Admin. Office : 202, Nirmal Towers, Dwarakapuri Colony Punjagutta, Hyderabad – 500082.
Phone: 040-66755863, 30603681 Fax: 040-66108495 E-mail : dp_rlp@yahoo.com

Individual

Proof of Identity	PAN Card
Proof of Address & Other Documents	Passport Driving License Voter ID Ration Card Registered Rent Agreement / Registered Sale Deed Bank Pass Book / Bank Statement (Not more than 3 months old) Utility Bills such as : <ul style="list-style-type: none">➤ Electricity Bill➤ Gas Bill➤ Land line Bill (Note more than 3 months old)➤ Flat Maintenance Bill➤ Insurance Copy.

Farmers

Proof of Identity	PAN Card
Proof of Address & Other Documents	Farmer can submit 7/12 Agreement Passport Driving License Voter ID Ration Card Registered Rent Agreement / Registered Sale Deed Bank Pass Book / Bank Statement (Not more than 3 months old) Utility Bills such as : <ul style="list-style-type: none">➤ Electricity Bill➤ Gas Bill➤ Land line Bill (Note more than 3 months old)➤ Flat Maintenance Bill➤ Insurance Copy.

Sole Proprietor

Proof of Identity	PAN Card of the Proprietor
Proof of Address	Passport Driving License Voter ID Ration Card Registered Rent Agreement / Registered Sale Deed Bank Pass Book / Bank Statement (Not more than 3 months old) Utility Bills such as : <ul style="list-style-type: none">➤ Electricity Bill➤ Gas Bill➤ Land line Bill (Note more than 3 months old)➤ Flat Maintenance Bill➤ Insurance Copy.

[illegible]

Permanent Address (if different)																													
																									Pin				

City																									
State																									
Country																									

Tel. (Off.)											Tel. (Res.)										
Mobile No.											Fax										

Email id																									
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Specify the proof of address (For Permanent Address)																													

C. OTHER DETAILS (Gross Annual Income Details (Please Specify) (Income Range per annum)

> 1 Lac ☐
 1-5 Lac ☐
 5-10 Lac ☐
 10-25 Lac ☐
 < 25 Lac ☐

Net-worth as on
 Date

(Net worth should not be older than 1 year)

D. OCCUPATION (Please ticks any one and give brief details)

<input type="checkbox"/> Private Sector	<input type="checkbox"/> Public sector	<input type="checkbox"/> Government Service	<input type="checkbox"/> Business
<input type="checkbox"/> Professional	<input type="checkbox"/> Farmer	Others (Plz Specify) <input style="width:150px;" type="text"/>	

Please tick, as applicable

Politically Exposed Person (PEP) ☐
 Related to a Politically Exposed Person (PEP) ☐

Not a Politically Exposed Person (PEP) ☐
 Not Related to a Politically Exposed Person (PEP) ☐

If you have a landline connection, kindly provide the same

E. BANK ACCOUNT(S) DETAILS

Bank Name	Branch address	Bank account no.	Account Type: Saving/ Current/ Others	MICR Number	IFSC code

Note: Provide a copy of cancelled Cheque leaf/ pass book/bank statement specifying name of the client, MICR Code or/and IFSC Code of the bank.

**F. DEPOSITORY ACCOUNT(S) DETAILS, if available**

Depository Participant Name	Depository Name (NSDL/CDSL)	Beneficiary name	DP ID	Beneficiary ID (BO ID)

Note: Provide a copy of either Demat Master or a recent holding statement issued by DP bearing name of the client.

G. TRADING PREFERENCES

(Note: Please sign in the relevant boxes against the Exchange with which you wish to trade. The Exchange not chosen should be struck off by the client.)

Sr.	Name of the National Commodity Exchange [#]	Date of Consent for trading	Signature of the Client
1			
2			
3			
4			

At the time of printing the form, the Member must specify the names of the Exchanges where the Member has membership.

[In case of allowing a client for trading on any other Exchange at a later date, which is not selected now, a separate consent letter is required to be obtained by the Member from client and to be kept as enclosure with this document]

H. INVESTMENT/TRADING EXPERIENCE

Other Investment Related Fields

☐

Commodities

☐

No Prior Experience

☐

Years

Years

I. SALES TAX REGISTRATION DETAILS (As applicable, State wise)

Local Sales Tax Regn No.

Validity Date

Name of the State

Central Sales Tax Regn No.

Validity Date

Other S T State Regn No.

Validity Date

Name of the State

J. VAT DETAILS (As applicable, State wise)

Local VAT Regn No.

Validity Date

Name of the State

Other VAT Regn No.

Validity Date

Name of the State

K. PAST REGULATORY ACTIONS

Details of any action/proceedings initiated/pending/ taken by FMC/ SEBI / Stock Exchange / Commodity exchange/any other authority against the client during the last 3 years

Signature of the Introducer

Authorized Signatory

APPLICATION FOR OPENING AN ACCOUNT (For Individuals only)**ONLY FOR NCDEX CLIENTS**

(Please fill in **CAPITAL LETTERS** only)

[illegible]

Address				4	0	2		N	I	R	M	A	L		T	O	W	E	R	S											
D	W	A	R	A	K	P	U	R	I		C	O	L	O	N	Y															
P	U	N	J	A	G	U	T	T	A		H	Y	D	E	R	A	B	A	D												
T	E	L	A	N	G	A	N	A														Pin				5	0	0	0	8	2

[illegible][illegible]

We request you to open an Account in my/our name as per the details. (Please fill in CAPITAL LETTERS only).

Sole / First Holders Details – Title

Mr.

11/11/2019

Mrs.

11

Ms.

[illegible][illegible][illegible][illegible][illegible][illegible][illegible]**Bank Details:**

Bank Name	Branch Address	Bank Account No.	Account Type: Saving/Current/Others	MICR Number	IFSC code



Correspondence Address																								
																				Pin				

City																									
State																									

Tel No.																									
PAN																									
Mobile No.																									

Fax No.																									
D.O.B																									

Email																									
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Bank Details:

Bank Name	Branch Address	Bank Account No.	Account Type: Saving/Current/Others	MICR Number	IFSC code

Nomination: - YES _____ NO _____ (If YES, Kindly fill the Nomination request form)

Account Statement Requirement ☐ Fortnightly ☐ Monthly ☐ Quarterly ☐

I/We have read the CP-BO agreement (CP-CM agreement for Clearing Member Accounts) including the schedules thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye Laws, Rules and Regulations of NCDEX as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First Holder	Second Holder	Third Holder
Name			
Occupation			
Signature			
Passport Photograph			



CM TM Trading CMSE Id Map
(To be filled in duplicate)

Serial Number

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Please fill in **CAPITAL LETTERS** only)

Comtrack Participants Name										R	.	L	.	P	.	S	E	C	U	R	I	T	I	E	S	P	V	T	.	L	T	D

Address										4	0	2	N	I	R	M	A	L	T	O	W	E	R	S									
D	W	A	R	A	K	P	U	R	I	C	O	L	O	N	Y																		
P	U	N	J	A	G	U	T	T	A	H	Y	D	E	R	A	B	A	D															
T	E	L	A	N	G	A	N	A																									

Comtrack Participant ID

5	0	1	6	3					
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Client ID

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Account Holder's Details:

Name of First / Sole Holder																													

Mapping Details:

(Please Tick)

Pro Account		Client Account	
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Clearing Mem ID (CMID)

M	5	0	0	1	8		

Trad Mem ID (TMID)

0	0	0	1	9			

Client ID (CMSE Client ID)

Trad Client ID

Name	First/Sole Holder										Second Holder										Third Holder									
Signature																														

Internal ref. no.

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Signature Verified By

--

Transaction Entered By

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**AGREEMENT BETWEEN THE COMTRACK PARTICIPANT AND
THE PERSON SEEKING TO OPEN AN ACCOUNT WITH THE
COMTRACK PARTICIPANT**

This agreement made and entered and executed at _____ on this day
Of _____ between _____, a _____ /firm/
company registered under the _____ and having its office/registered office at

(hereinafter called 'the Client') and **M/s. R. L. P. Securities Private Limited**, a company registered under the Companies Act, 1956 and having its **registered office at 402, Nirmal Towers, Dwarakapuri Colony, Punjagutta, Hyderabad – 500082, Telangana** (hereinafter called 'the Comtrack Participant'). The Client and the Comtrack Participant hereinafter individually termed as party and jointly as parties. The expressions the Client and the Comtrack Participant include their respective successors.

Witnesseth

WHEREAS the Comtrack Participant is authorized by the Comtrack Service Provider (Exchange) to open and maintain Comtrack accounts of participants /Clients, for the purpose of holding electronic credits of underlying commodities and dealing therein including transfers thereof in the manner prescribed.

WHEREAS the Client is desirous of opening an account with the Comtrack Participant and has furnished to the Comtrack Participant the duly filled in application form requesting therein to open an account (hereinafter referred to as '**the Comtrack Account**') with the Comtrack Participant to maintain its commodity holdings corresponding to the quantity of commodity stored/accumulated by the Client in the accredited warehouses, in electronic form.

NOW THEREFORE in consideration of the Comtrack Participant having agreed to open a Comtrack account for the Client, both the parties to the agreement hereby covenant and agree as follows :

1. For the purposes of this agreement and the performance thereof, the following terms shall have the meaning as detailed there against:

"Accredited Warehouse" shall mean the warehouse or a place accredited by the Exchange where the Clients who intend to give delivery of goods pursuant to any transaction on the Exchange, may deposit or keep goods in the manner and as per process prescribed by the Exchange from time to time;

"Comtrack" shall mean the electronic system owned and maintained by NCDEX or any entity appointed by NCDEX, on which the Comtrack Accounts of Clients are opened and maintained through Comtrack Participants;

"Comtrack Account" shall mean the electronic account of a Client opened and maintained in Comtrack reflecting the record of ownership and transactions of transfers in respect of commodity deposited in the Approved/ accredited Warehouse and also showing the details of commodity, quantity, place of storage and validity certification and other details and parameters as may be prescribed or modified by the Comtrack Service Provider from time to time"

"Comtrack Service Provider" shall mean NCDEX or such other entity authorized or appointed by NCDEX for operating and maintaining the Comtrack account;

"Exchange" shall mean National Commodity & Derivatives Exchange Limited (NCDEX);

"Final Expiry Date" shall mean the final date upto which the Validity Date of commodity can be extended.

"Validity Date" shall mean the date assigned to the commodity by the approved assayer of the Accredited Warehouse at the time of acceptance of commodity for credit to the Comtrack account up to which a commodity is valid for delivery in settlement of transaction on the Exchange.

2. The Client agrees to pay such charges as set out in **Schedule A** hereto, to the Comtrack Participant for the purpose of opening and maintaining his account, for carrying out the instructions of the Client and for rendering such other services as may be agreed to from time to time between the Comtrack Participant and the Client in respect of his/its Comtrack Account. The Comtrack Participant reserves the right to revise the charges anytime by giving not less than thirty days notice in writing to the Client. In case the Client fails to make the payment of any of the amounts as aforesaid, within a period of thirty days from the date of demand or the immediate succeeding billing date whichever is earlier, the Comtrack Participant shall have the right to discontinue the services after giving two days notice to the Client, till such time the Client makes the payment along with interest, if any. The Client further agrees that in the event of the Client committing such default in payment, the Comtrack Participant will -charge interest at the rate of not more than 24% p.a. for the period of such default without prejudice to its right to suspend operations in the Comtrack Account or close the Comtrack Account of the Client.
3. Upon opening of the Comtrack Account as requested, the Client shall have the right to get the quantity of those of its commodities physically deposited by it with and received by the Accredited Warehouse, duly recorded and credited in electronic form (hereinafter referred to as 'electronic holding') in its Comtrack Account. Provided however that such credit in the electronic account shall be subject to acceptance of the commodities by the Accredited Warehouse for credit to the Comtrack Account in the manner and as may be specified by the Exchange, as valid deposit for storage and delivery. The Client further understands and agrees that the record of electronic holding of commodities held by him is for the purpose of transaction on the Exchange and that it shall be entitled to hold its commodities in electronic form only till the Final expiry date as assigned by the assayer approved for the purpose or such other date as may be specified by the Exchange or Comtrack Service Provider and that the Client shall not be entitled to issue of any warehouse receipt by the accredited warehouse except under circumstances and in the manner prescribed by the Exchange.
4. The Client understands and agrees that the electronic credit of commodity holdings in the Comtrack Account pursuant to deposit of the underlying physical commodity at the Warehouse concerned or as a consequence of transfer, is only a record of deposit and entitlement therefor and that the Comtrack Participant and the Exchange or Comtrack Service Provider are mere facilitators of such account keeping while the liability in respect of the actual commodity/ies stored in the Accredited Warehouse is that of the Warehouse Service Provider. The Client further understands and agrees that once electronic credit of commodities is transferred from its Comtrack Account, the Client is not entitled to receive or deal with the corresponding commodities and that the right to receive the commodities and deal therein shall then rest with the respective transferee/s of the electronic credit except in cases of frauds/erroneous transfers subject to being reported and rectified immediately after detection.
5. The Client is aware and agrees that the existence of electronic credit of the commodity holdings in its Comtrack Account does not imply that the actual commodity deposited by it in the Accredited Warehouse shall be available at all times as long as the commodity holdings in Comtrack account subsist. The Client understands that the electronic credit of its commodity holdings is subject to the validity period or extended validity period if any, as may be prescribed for the relevant commodity by the Exchange and understands that commodity cannot be traded on the Exchange after expiry of validity date unless revalidated on or before such validity date, or the Final Expiry Date, as the case may be and that holding of commodity in the Accredited Warehouse after expiry of such date/s shall be entirely and absolutely at the risks and consequences of the Client.
6. The Client undertakes that upon expiry of validity date unless the commodity/ies are revalidated on or before such validity date, or the Final Expiry Date, as the case may be, the Client shall take out the commodity from the Accredited Warehouse by complying with the process as prescribed by the Comtrack Service Provider. The Client understands that the Accredited Warehouse shall be entitled to issue physical warehouse receipt in respect of electronic holding of commodities after the expiry of validity date unless revalidated as aforesaid or the Final Expiry Date, as the case may be, by reducing or debiting the credit balance in the electronic holdings equivalent to such quantity of commodity and it shall be the responsibility of the Client to collect such physical warehouse receipt from the Accredited Warehouse.
7. The Client agrees that any transaction in respect of its electronic commodity holding shall subsist only till the period or date if any, stipulated by the Exchange upto which such commodity is deliverable on the Exchange. The Client further agrees that the Comtrack Service Provider or the Exchange or the Comtrack Participant shall be free to freeze or stop transactions pertaining to commodity holding belonging to the

Client or in respect of its holdings in the Comtrack Account completely or partly as may be deemed appropriate by the Commodity Service Provider upon the coming to end of validity period unless revalidated on or before such validity period or upon the Final Expiry Date of a commodity or if for any reason or circumstances which in the opinion of Comtrack Service Provider, the freezing of Comtrack Account is warranted. Such opinion of the Comtrack Service Provider shall be final, conclusive and binding on the Client.

8. The Client also agrees and undertakes that it shall not hold the Comtrack Participant or the Exchange or the Comtrack Service Provider responsible and/or liable for any deterioration or deviation in quality or in quantity of the actual commodity deposited in the Accredited Warehouse and will not have any claim for the same from or against the Comtrack Participant or the Comtrack Service Provider or the Exchange.
9. The Comtrack Participant further undertakes that it shall not create or permit to subsist any mortgage, charge or other encumbrance over the commodity holdings of the Client except on the instructions of the Client.
10. The Comtrack Participant shall maintain a separate Comtrack Account for holding its own commodities in electronic form.
11. The Comtrack Participant undertakes that a transfer to and from the accounts of the Client shall be made only on the basis of an order, instruction, direction or mandate duly authorised by the Client in the manner prescribed and/or in accordance with and subject to the Rules, Bye-laws and Regulations, notifications or directives of the Exchange and that the Comtrack Participant shall maintain adequate audit trail of such transactions.
12. The Comtrack Participant agrees that the Client may give standing instructions with regard to commodity holdings in its Comtrack Account and the Comtrack Participant shall act according to such instructions subject however to the Rules, Byelaws and Regulations or Directives or Notices of the Exchange or processes prescribed by the Comtrack Service Provider.
13. The Comtrack Participant undertakes to provide a transaction statement including statement of accounts, if any, to the Client at such intervals as may be agreed between the Client and the Comtrack Participant.
14. The Comtrack Participant shall have the right to terminate this agreement, for any reasons whatsoever, provided the Comtrack Participant has given a notice in writing of not less than thirty days to the Client as well as to the Comtrack Service Provider. Similarly, the Client shall have the right to terminate this agreement and close his account held with the Comtrack Participant, provided no charges or dues are payable/remaining to be paid by him to the Comtrack Participant and no instructions of the Exchange if any, concerning its holding/s are pending for compliance and provided that any encumbrance if subsisting, has been fully satisfied and discharged. In the event of closure of its account, the Client shall specify whether the balances in its account should be transferred to any other account of the Client held with another Comtrack Participant or to withdraw the commodity holding balances held. Based on the instructions of the Client, the Comtrack Participant shall initiate the procedure for transferring such commodity holding balances or process for withdrawal of such balances as per the procedure laid down in the Bye Laws, Rules or Regulations and guidelines of the Exchange or process prescribed by the Comtrack Service Provider. Provided further, the termination of this agreement shall not affect the accrued rights, liabilities and obligations of either party and shall continue to bind the parties till their satisfactory completion.
15. The Client agrees to pay to the Comtrack Participant fees and charges on account of the commodity holdings, such as warehouse charges, assaying charges and such other charges as may be notified by the Exchange or Comtrack Service Provider at the rate and manner as may be prescribed subject however, that any such charges recoverable against any bill, if remain unpaid by the Client for a period of more than 15 days from the date of receipt of the bill, the Comtrack Participant shall be entitled to suspend all transactions in the Comtrack account of the Client till the time of recovery of charges with prescribed interest if any. The Client further authorizes Comtrack Participant to forward the charges so collected to the respective entity such as the Warehouse Service Provider, the Exchange, Comtrack Service Provider and any other entity involved in the process as the case may be, as per directives of the Exchange or the Comtrack Service Provider.

16. On the failure of the Client to pay any of the accrued charges as agreed herein within the stipulated period, the Comtrack Participant shall without prejudice to its right to legal remedies, be entitled to terminate this agreement and close the Comtrack Account of the Client by requiring it to specify whether the balances in its account be transferred to the account of the Client held with another Comtrack Participant or be processed for withdrawal in the manner prescribed..
17. The Client further agrees that
- i) in the event of closure of the account at its request or in circumstances as provided herein, it shall withdraw its commodity holdings for physical delivery or request transfer thereof from its Comtrack Account to any other Comtrack account;
 - ii) in the event of expiry of the validity period of the commodity if any, as per the assayers' certificate issued therefor, the Client shall withdraw the relevant commodity holdings for physical delivery unless a revalidation certification is permitted as per process prescribed by the Comtrack Service Provider has been obtained on or before the validity date in respect of such quantity of commodity which has reached the validity date; and
 - iii) at the expiry of validity date unless revalidated as provided herein or Final Expiry Date as the case may be, the Comtrack Participant shall be entitled to remove the Commodity holdings from the electronic form for physical delivery. Any continuation or otherwise of the deposit of commodities in the accredited warehouse after the expiry of Validity Date or the Final Expiry Date as the case may be, shall entirely be deemed to be a bilateral arrangement between the Client and the concerned Accredited Warehouse.
- iv) In the event of:
- a. expiry of validity date without any revalidation of the commodity on or before such date, or
 - b. the Final Expiry Date; or
 - b. upon closure of Comtrack Account for any reason whatsoever,
- it shall be the responsibility of the Client to collect the Physical Warehouse Receipt for such quantity of commodity or entire quantity of commodity as the case may be, from the concerned Accredited Warehouse by paying dues if any, to the Comtrack Participant, Warehouse Service Provider or the Comtrack Service Provider.
18. The Comtrack Participant shall have a right to provide any such information related to the Client's account/s as may be requested by the Exchange, Comtrack Service Provider or any other authority having jurisdiction, from time to time.
19. The Client may create a pledge of the commodity holdings held in the electronic form with the Comtrack Participant only in accordance with the procedure and conditions and/or restrictions laid down by the Comtrack Participant subject however to the provisions of/under the Bye Laws, Rules and Regulations of the Exchange or Comtrack Service Provider in this regard. Such noting of pledge shall be removed only after a receipt of 'No lien' or 'Release of pledge' letter or other such writing from the Pledgee, as recognized by the Comtrack Participant or prescribed by the Comtrack Service Provider.
20. The Client agrees that the Comtrack Participant may refuse to carry out any transaction in the event of any directive from the Exchange restricting such transaction including seeking freezing of the Client's account, or in accordance with any procedure laid down by the Exchange or Comtrack Service Provider warranting such freezing at a given time or on any event.
21. The Exchange/Comtrack Service Provider or the Comtrack participant shall not be liable to the Client in any manner towards losses, liabilities and expenses arising from the claims of third parties or on account of taxes and other governmental charges in respect of the commodity holdings of the Client.
22. The Client shall notify the Comtrack Participant within seven days from the date of any change in the details set out in the application form submitted to the Comtrack Participant at the time of opening the account or furnished to the Comtrack Participant from time to time.
23. The Client shall immediately within three days, inform the Comtrack participant about any change in its constitution involving change in control of management, and shall execute all such necessary documents as may be required by the Comtrack Participant in that regard.

24. The Comtrack Participant undertakes to resolve all legitimate grievances of the Client against the Comtrack Participant within a period of thirty days. In the event of non resolution of the grievance within the time specified as aforesaid, the parties agree to submit the same for resolution by arbitration as per the terms agreed herein.
25. The parties agree that any dispute, claim or difference between the Comtrack Participant and the Client if not resolved as aforesaid, arising out of or in relation to dealings, contracts and transactions in Comtrack Account or with reference to or anything incidental thereto or in pursuance thereof or relating to the interpretation, fulfilment or the rights, obligations and liabilities of the parties hereto or thereto shall be submitted for resolution by the process of arbitration in accordance with Byelaws and Regulations governing the arbitration process of the Exchange including amendments thereof from time to time and the parties agree to be bound by the arbitration awards so obtained.
26. All matters arising out of this agreement shall be governed by and construed in accordance with the Laws of India and all matters where the Exchange/Comtrack Service Provider is a party, shall be subject to the exclusive jurisdiction of Mumbai Courts.

IN WITNESS WHEREOF the Client and the Comtrack Participant has caused these presents to be executed as of the day and year first above written.

Signed and delivered by

for and on behalf of _____

Witness

Signed and delivered by

for **R. L. P. Securities Private Limited**

Witness

Schedule A

1. Account Subscription charges.
2. Custody charges.
3. Transaction charges.
4. Withdrawal charges.
5. Pledge noting charges
6. Other charges



R.L.P. SECURITIES PRIVATE LIMITED

Regd. Office: 402, Nirmal Towers, Dwarakapuri Colony Punjagutta, Hyderabad – 500082.
Admin. Office : 202, Nirmal Towers, Dwarakapuri Colony Punjagutta, Hyderabad – 500082.
Phone: 040-66755863, 30603681 Fax: 040-66108495 E-mail : dp_rlp@yahoo.com

COMTRACK – Individual

SCHEDULE- A **Charges for Depository Services**

I / We agree to pay the charges as per following charge structure for our Commodity Comtrack Demat account with R.L.P. SECURITIES PRIVATE LIMITED.

Charge Head	Charges
Annual Maintenance charges	Rs.1000/- plus Comtrack charges at actual per annum to be paid at the beginning of new account opening
Refundable Deposit	Deposit of Rs.50,000/- will be collected at the time of opening of the account. This would be maintained with R.L.P. Securities Pvt. Ltd. at all times.
Credit Transaction charges	Rs 200/- per transaction plus Comtrack charges at actuals
CNT Charges (Credit & Debit)	0.02% plus Comtrack charges at actuals
Debit Transaction charges	
Off Market transaction	0.02% per debit instruction subject to minimum of Rs.250/- plus Comtrack charges at actuals
Market transaction	0.02% per debit instruction subject to minimum of Rs.250/- plus Comtrack charges at actuals
Pledge Charges Creation/Closure and Confirmation of both	0.04% of the transaction value subject to a minimum of Rs.200/-plus Comtrack charges at actuals
Pledge Charges for Invocation	0.02% of the transaction value subject to a minimum of Rs.500/- plus Comtrack charges at actuals
Re-materialization Charges	Rs. 250/- per transaction plus Comtrack charges at actuals
Failed / Rejected Transactions	Rs.100/- per transaction
Adhoc / Non periodic statement requests	Rs.100/- (Rs.500 for foreign address) per request upto 10 pages. Every additional 5 pages or a portion thereof will be charged at Rs.10/-. PLUS courier charges.
E-Mail Statement	Periodic Bills and Transactions statements and other communications will be sent by email only. Terms and Conditions for the same to be mandatorily executed. Requests for physical statements will be charged as mentioned above.
Cheque Bounce charges	Charges will be applied based on charges as determined by our bankers
Warehouse / Exchange Charges	Actual billing as received from NCDEX/Warehouse plus 2.00% extra subject to minimum Rs.100/- per transaction
Documentation Charges	Rs.250/-

Note :

- Service Tax and Other charges (as applicable) Extra
- R.L.P. Securities Private Limited Reserves right to “**Freeze COMTRACK** Account for debit transactions in case of non Payment of charges beyond 2 days from the due date mentioned in the bill. RLP Securities Pvt. Ltd may require the client to pay Rs.150/- as resumption charges for such accounts as may be frozen for debit on account of non payment of bill.
- In case of non-payment of dues within 30 days of due date, dues shall be subject to interest @18% p.a..
- **The above charges can be changed with a notice period of one month.**

Signature(s)

Sole/ First Holder

Second Holder

Third Holder

(Please sign on all the pages of the rate sheet)

Comtrack Charges / lots details are available at : <https://comtrack.in>

Market Transactions :

Ben Account to CM Pool Account (CTP)
CM Pool Account to Exchange Settlement (Sweep-in)
Exchange Settlement to CM Pool Account (Sweep-Out)
CM Pool account to Ben Account (PTC)

Ownership Transfer :

Ben Account to Ben Account
Client Negotiated Trades (CNT)
Refer Circular NCDEX/CLEARING-013/2014/170 uploaded on NCDEX website

Per Lot Charges

For all Agri contracts of 1 MT except pepper, its Rs.50/10 Lots or Part thereof.
For all Agri Contracts of 2 MT, its Rs.50/5 Lots or Part thereof.



R.L.P. SECURITIES PRIVATE LIMITED

Regd. Office: 402, Nirmal Towers, Dwarakapuri Colony Punjagutta, Hyderabad – 500082.
Admin. Office : 202, Nirmal Towers, Dwarakapuri Colony Punjagutta, Hyderabad – 500082.
Phone: 040-66755863, 30603681 Fax: 040-66108495 E-mail : dp_rlp@yahoo.com

COMTRACK

Other Points:

1. All charges would attract the service tax /cess /levies and / or other such taxes as applicable from time to time
2. All payments have to be made favouring R.L.P. Securities Pvt. Ltd. You can pay by Cheques or Demand Drafts payable at the local branch where the account is opened. Outstation cheques will not be accepted. Payment can also be made by availing ECS facility or by choosing to pay Online.
3. All the percentages in the above structure would be applied on the value of the transaction as computed by the depository
4. Out of Pocket expenses incurred on the Dispatch of securities for Dematerialisation and communication charges incurred on out-station calls/faxes made specifically on request of the client will be charged on actuals.
5. Charges quoted above are for the services listed. Any services not listed above will be charged separately
6. Any advance payments over and above the normal amount due can also be made. Any such higher amount paid than the minimum amount shall be adjusted against the bills raised from time to time
7. All depositories charges will be levied at actuals from time to time.

Important Note:

1. DP bills should be paid on or before the due date. Interest shall be levied @ 2% per month on outstanding amount for non-payment from the bill due date. In case of non-payment of DP charges within the due date, DP reserves the right to discontinue operation of the demat account till such time that the payment is received
2. For all purposes the Bill date shall be construed as the date of demand and the bill will be considered as the **Bill cum Notice** for payment and, “**R.L.P. Securities Pvt. Ltd.**” reserves the right to “freeze depository account for debit transactions”, in case of non payment of charges after two days from the Bill due date
3. The renewal charges for resuming the depository operations will be Rs. 150 per Account and the services will be resumed in minimum of two working days from the date of receipt of request at “**R.L.P. Securities Pvt. Ltd.**” branch.
4. I / We understand that the above charges are subject to revision by “**R.L.P. Securities Pvt. Ltd.**” after providing a notice period of 30 days. Such revisions will be notified by ordinary post / courier.

Sole/ First Holder

Second Holder

Third Holder

(Please sign on all the pages of the rate sheet)

ACKNOWLEDGEMENT

To,

**M/s. R. L. P. Securities Pvt. Ltd.,
402, Nirmal Towers, Dwarakapuri Colony,
Punjagutta, Hyderabad – 500082.**

Dear Sir,

I/We acknowledge the receipt of the following documents:

1. Booklet containing
 - a. Rights and Obligations
 - b. Do's and Don'ts
 - c. Policies & Procedures of the Comtrack Participant.
2. Xerox copies of duly executed
 - a. KYC Form
 - b. Agreement copy
 - c. Tariff Sheet
3. Document stating the General Terms & Conditions Governing the Services provided by R. L. P. Securities Pvt. Ltd.
4. All other Voluntary Documents as executed by me / us in the KYC kit.

I/We further state and confirm that / we have read and understood all the clauses of the aforesaid document(s).

I/We also confirm that I/we have received the relevant documents and sought the clarifications, wherever required from the officials of R. L. P. Securities Pvt. Ltd.,/I/We hereby agree to be bound by such provisions as have been outlined in these documents.

I/We also understand that the above documents are displayed in the member website www.rlpsecurities.com and that any charges or additions in the above documents from time to time will be displayed on the above said website.

Yours Truly,

Acknowledgement Receipt

We hereby acknowledge the receipt of the Account Opening Application Form from: -

[illegible]

(For Office Use Only)

Employee Name	
Employee Code	
Designation	
Signature & Stamp	

ONLY FOR NCDEX CLIENTS**Application No.**[illegible]

BO

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CP

11

NCDEX

7

Date _____

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C P Name

[illegible]**Address**[illegible]

I / We the Sole Holder / Joint Holders / Guardian (in case of Minor) request you to close my / our account with you from the date of this application. The details of my/our account are given below:

C P I D

[illegible]

Client ID

[illegible]

Name of the Sole / First Holder

[illegible]

Name of Second joint Holder

[illegible]

Name of Third joint Holder

[illegible]

Address

[illegible]

City

[illegible]

State

[illegible]

Country

[illegible]

Reasons for Closing the Account

[illegible]

Name	First Authorized Signatory										Second Authorized Signatory										Third Authorized Signatory									
Signature																														

*If CP or NCDEX initiates account closure, Signature(s) of account holder(s) not required. Senior official of CP should sign the form in case CP initiates closure.

Acknowledgement Receipt

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We hereby acknowledge the receipt of your instruction for Closing the following Account subject to verification: -

[illegible][illegible][illegible][illegible][illegible]

Details of remaining Commodity balances in the account (if any)

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For Office Use Only)

Employee Name	
Employee Code	
Designation	
Signature & Stamp	