

## **Investor Complaints data for stock broking**

### Annexure – B

**Investor Complaints Data for the month of May 2025** 

S	Received	Carried	Received	Total	Resolv	Pending a	Pending at the end		
N	from	forward from	during	Pending	ed*	of the month**		Resolution	
		previous	the					time^ (in	
		month	month					days)	
						Pending	Pending		
						for < 3	for > 3		
						months	months		
1	2	3	4	5	6	7	7	8	
1	Directly from	0	0	0	0	(	)	0	
	Investors								
2	SEBI	0	0	0	0	(	)	0	
	(SCORES)								
3	Stock	0	0	0	0	0		0	
	Exchanges								
4	Other	0	0	0	0	(	)	0	
	Sources								
5	Grand	0	0	0	0	0		0	
	Total								

Trend of monthly disposal of complaints

SN	Month	Carried forward	Received	Resolved*	Pending**
		from previous			
		month			
1	2	3	4	5	6
1	July-2024	0	0	0	0
2	Aug-2024	0	0	0	0
3	Sept-2024	0	0	0	0
4	Oct-2024	0	0	0	0
5	Nov-2024	0	0	0	0
6	Dec-2024	0	0	0	0
7	Jan-2025	0	0	0	0
8	Feb-2025	0	0	0	0
9	Mar-2025	0	0	0	0
10	Apr-2025	0	0	0	0
11	May-2025	0	0	0	0
	Grand Total	0	0	0	0

#### **Trend of annual disposal of complaints**

SN	Year	Carried forward	Received	Resolved	Pending at
		from previous	during the year	during the	the end of
		year		year	the year
1	2020-21	0	0	0	0
2	2021-22	0	0	0	0
3	2022-23	0	0	0	0
4	2023-24	0	0	0	0
5	2024-25	0	0	0	0
6	2025-26	0	0	0	0
	Grand Total		0	0	0

# Investor Complaints data for Depository participant Saaketa Investment Services Limited

#### Annexure - C

## **Investor Complaints Data for the month of Apr 2025**

S N		Carried forward from previous month	Received during the month		Resolv ed*	Pending of the mo	at the end onth**	Average Resoluti on time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7	7	8
1	Directly from Investors	0	0	0	0		)	0
2	SEBI (SCORES)	0	0	0	0	(	)	0
3	Depositories	0	0	0	0	(	)	0
4	Other Sources	0	0	0	0	(	)	0
5	Grand Total	0	0	0	0	(	)	0

## Trend of monthly disposal of complaints -DP

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
	2	3	4	5	6
1	July-2024	0	0	0	0
2	Aug-2024	0	0	0	0
3	Sept-2024	0	0	0	0
4	Oct-2024	0	0	0	0
5	Nov-2024	0	0	0	0
6	Dec-2024	0	0	0	0
7	Jan-2025	0	0	0	0
8	Feb-2025	0	0	0	0
9	Mar-2025	0	0	0	0
10	Apr-2025	0	0	0	0
11	May-2025	0	0	0	0
	Grand Total	0	0	0	0

## Trend of Annual disposal of complaints -DP

SN	Year	Carried forward from previous		Resolved during the	Pending at the end of
		year	during the year	year	the year
1	2021-22	0	0	0	0
2	2022-23	0	0	0	0
3	2023-24	0	0	0	0
4	2024-25	0	0	0	0
5	2025-26	0	0	0	0
	Grand Total	0	0	0	0