

**AUTHORISATION LETTER TO HOLD FUND AS PER REGULATORY INSTRUCTIONS**

To,

**Sharewealth Securities Limited**  
4<sup>th</sup> Floor, Pooma Complex,  
No:25/469/23, M G Road,  
Thrissur, Kerala

Date.....

I / we am / are doing continuous trading and delivery based business in securities with you. I / We am / are aware that Settlement of Accounts is mandatory. However, as per SEBI circular MIRSD/SE/Cir-19/2009 and Exchange circulars no NSE/INSP/24849 dated October 29, 2013 and BSE circular no 20131029-15 dated October 29, 2013. I we give my / our consent for not to settle my / our account if the balance is equal to or less than 10,000/-. This undertaking will be valid in all quarter when my/our balance is equal to or less than Rs.10000/- till my/our revocation in writing.

While settling the account please send a 'statement of accounts' containing an extract from ledger for funds and an extract from the register of securities displaying all receipts/deliveries of funds/securities. Please explain in the statement(s) being sent the retention of funds/securities and the details of the pledge, if any. I / We agree that I/We shall bring any discrepancy arising from the statement of a/c on settlement within 7 working days from the date of receipt of funds/securities or statement as the case may be to the notice of Trading Member so as to allow the Trading Member to take remedial steps, if any are warranted. This authorisation is only for settlement of funds periodically and not applicable for request of pay outs from time to time.

Thanking you,

Yours faithfully,

Signature.

Client Name

Client Code