

FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN

As on financial year ended on 31.03.2026
Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company
(Management & Administration) Rules, 2014.

I. REGISTRATION & OTHER DETAILS:

1.	CIN	U66120DL1994PLC060238
2.	Registration Date	14/07/1994
3.	Name of the Company	KK SECURITIES LIMITED
4.	Category/Sub-category of the Company	Company limited by Shares/Indian Non-Government Company
5.	Address of the Registered office & contact details	76-77, Scindia House, Janpath, New Delhi - 110001
6.	Whether listed company	No
7.	Name, Address & contact details of the Registrar & Transfer Agent, if any.	N.A.

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY (All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	STOCK BROKING	997152	99

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES:

SN	Name and Address of the Company	CIN/GLN	Holding/Subsidiary/Associate	% of shares held	Applicable section
1	NIL	-	-	-	-

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

f) Insurance Companies	0	0	0	0	0	0	0	0	0
g) FIIs	0	0	0	0	0	0	0	0	0
h) Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	0
i) Others (specify)	0	0	0	0	0	0	0	0	0
Sub-total (B)(1):-	0	0	0	0	0	0	0	0	0
2. Non-Institutions					0	0	0	0	0
a) Bodies Corp.	0	0	0	0	0	0	0	0	0
i) Indian	0	0	0	0	0	0	0	0	0
ii) Overseas	0	0	0	0	0	0	0	0	0
b) Individuals					0	0	0	0	0
i) Individual shareholders holding nominal share capital up to Rs. 1 lakh	8568	4250	12818	0.09	8568	4250	12818	0.09	0.00
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	0	0	0	0	0	0	0	0	0
c) Others (specify)									
Non Resident Indians									
Overseas Corporate Bodies									
Foreign Nationals									
Clearing Members									
Trusts									
Foreign Bodies - D R									
Sub-total (B)(2):-	8568	4250	12818	0.09	8568	4250	12818	0.09	0.00

Total Public Shareholding (B)=(B)(1)+ (B)(2)	8568	4250	12818	0.09	8568	4250	12818	0.09	0.00
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0					
Grand Total (A+B+C)	14781619	4250	14785869	100	14781619	4250	14785869	100	0

(ii) Shareholding of Promoter-

SN	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	KAMAL GUPTA	3128760	21.16	0	31,28,760	21.16	0	0.00
2	RENU GUPTA	5119601	34.62	0	51,19,601	34.62	0	0.00
3	KRISHNA GUPTA	4328786	29.27	0	43,28,786	29.27	0	0.00
4	AUCHITYA GUPTA	1097952	7.43	0	10,97,952	7.43	0	0.00
5	ARUSH GUPTA	1097952	7.43	0	10,97,952	7.43	0	0.00

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

SN	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	At the beginning of the year	14773051	99.91	14773051	99.91
	Further issue of Shares-	0	0	0	0

	At the end of the year	14773051	99.91	14773051	99.91
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(iv) Shareholding Pattern of top ten Shareholders:

(Other than Directors, Promoters and Holders of GDRs and ADRs):

SN	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the Year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	MANJULATA Jt. BEHERA SANJUKTA	4250	0.03	4250	0.03
2	BANSAL POONAM JT BANSAL SANJAY	4267	0.03	4267	0.03
3	SANTOSH KUMAR GAUTAM	0	0.00	500	0.00
4	MOHITA MITLA	0	0.00	1750	0.01
5	SANJEEV MITLA	0	0.00	2000	0.01
6	ASHOK KR GOYAL	17	0.00	17	0.00

(v) Shareholding of Directors and Key Managerial Personnel:

SN	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the Year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	TILAK RAJ AGARWAL, Director No addition/deletion during the year	17	0.00	17	0.00
2	KAMAL GUPTA, Director				
	At Beginning Of Year	3128760	21.16	3128760	21.16
	Allotted During The Year	0	0	0	0
	Transferred During The Year	0	0	0	0
	AT End Of The Year	3128760	21.16	3128760	21.16

3	SANJAY BANSAL, DIRECTOR No addition/deletion during the year	17	0.00	17	0.00
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V. INDEBTEDNESS -Indebtedness of the Company including interest outstanding/accrued but not due for payment.

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	4,93,08,263	0	-	4,93,08,263
ii) Interest due but not paid	0	0	-	0
iii) Interest accrued but not due	0	0	-	0
Total (i+ii+iii)	4,93,08,263	0	-	4,93,08,263
Change in Indebtedness during the financial year				
* Addition	58,33,046	0	-	58,33,046
* Reduction	0	0	-	0
Net Change	58,33,046	0	-	58,33,046
Indebtedness at the end of the financial year			-	
i) Principal Amount	5,51,41,309	0	-	5,51,41,309
ii) Interest due but not paid	0	0	-	0
iii) Interest accrued but not due	0	0	-	0
Total (i+ii+iii)	5,51,41,309	0	-	5,51,41,309

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL-

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

SN.	Particulars of Remuneration	Name of MD/WTD/ Manager				Total Amount
		Kamal Gupta	Tilak Raj Agarwal	Sanjay Bansal	Mukesh Rustagi	
1	Gross salary					
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	20,90,000	8,19,000	30,80,000	27,40,000	87,29,000
	(b) Value of perquisites u/s 17(2)	8,95,379	0	39,600	32,400	9,67,379

	Income-tax Act, 1961					
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961					Nil
2	Stock Option					Nil
3	Sweat Equity					Nil
4	Commission - as % of profit - others, specify...					Nil
5	Others, please specify					Nil
	Total (A)	29,85,379	8,19,000	31,19,600	27,72,400	96,96,379
	Ceiling as per the Act	Within the prescribed limits of Schedule V				

B. Remuneration to other directors

SN.	Particulars of Remuneration	Name of Directors				Total Amount
		Shikha Agarwal	Mansi Mamgain	----	---	
1	Independent Directors					
	Fee for attending board committee meetings	20,000	20,000	Nil	Nil	40,000
	Commission	Nil	Nil	Nil	Nil	Nil
	Others, please specify	Nil	Nil	Nil	Nil	Nil
	Total (1)	20,000	20,000	Nil	Nil	40,000
2	Other Non-Executive Directors					
	Fee for attending board committee meetings	Nil	Nil	Nil	Nil	Nil
	Commission	Nil	Nil	Nil	Nil	Nil
	Others, please specify					
	Total (2)	Nil	Nil	Nil	Nil	Nil
	Total (B)=(1+2)	20,000	20,000	Nil	Nil	40,000
	Total Managerial Remuneration	20,000	20,000	Nil	Nil	40,000
	Overall Ceiling as per the Act	Within the prescribed limits of Schedule V				

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

SN	Particulars of Remuneration	Key Managerial Personnel			
					Total
1	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	Nil			Nil
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	Nil			Nil
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	Nil			Nil
2	Stock Option	Nil			Nil
3	Sweat Equity	Nil			Nil
4	Commission	Nil			Nil
	- as % of profit	Nil			
	others, specify...	Nil			Nil
5	Others, please specify	Nil			Nil
	Total	Nil			Nil

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty	Nil	N.A.	N.A.	N.A.	N.A.
Punishment	Nil	N.A.	N.A.	N.A.	N.A.
Compounding	Nil	N.A.	N.A.	N.A.	N.A.
B. DIRECTORS					
Penalty	Nil	N.A.	N.A.	N.A.	N.A.
Punishment	Nil	N.A.	N.A.	N.A.	N.A.
Compounding	Nil	N.A.	N.A.	N.A.	N.A.
C. OTHER OFFICERS IN DEFAULT					
Penalty	Nil	N.A.	N.A.	N.A.	N.A.
Punishment	Nil	N.A.	N.A.	N.A.	N.A.
Compounding	Nil	N.A.	N.A.	N.A.	N.A.

For and on behalf of the Board of Directors

Kamal Gupta	Sanjay Bansal	Mukesh Rustagi
Director	Director & CFO	Director Corp. & Company Secretary
DIN : 00325351	DIN : 03556022	DIN : 05199984

Place: New Delhi

Dated: 27th July, 2026

Regd. Office:-

76-77, Scindia House,

Janpath, New Delhi -110001

CIN: U74899DL1994PLC060238