

FORM 11
ACCOUNT OPENING FORM
(FOR NON-INDIVIDUALS)

KK SECURITIES LTD. 76-77, Scindia House, Janpath, New Delhi 110001 DP ID – IN300468, SEBI Regn No. INZ000155732. Ph – 011-46890000. Email Id – kksl@kksecurities.com	Client –ID (To be filled by Participant) <table border="1" style="width: 100%; height: 20px; border-collapse: collapse;"> <tr> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> <td style="width: 12.5%;"></td> </tr> </table>								

We request you to open a depository account in our name as per the following details: <i>(Please fill all the details in CAPITAL LETTERS only)</i>	Date	D	D	M	M	Y	Y	Y	Y
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A)	Details of Account holder(s):									
		Name	PAN							
	Sole/ First Holder									
	Second Holder									
	Third Holder									

B)	Type of account									
	☐ Body Corporate			☐ FI			☐ FII			
	☐ Qualified Foreign Investor			☐ Mutual Fund			☐ Trust			
	☐ Bank			☐ CM			☐ HUF			
	☐ Other (Please specify) _____									

C)	For Partnership Firm, Unregistered Trust, Association of Persons (AOP) etc., although the account is opened in the name of the partner(s), trustee(es) etc., the name & PAN of the Partnership Firm, Unregistered Trust, Association of Persons (AOP) etc., should be mentioned below:									
	a) Name		b) PAN							

D)	Income Details (please specify)																	
	Income Range per annum	and	Network															
	☐ Below ` 20 Lac		Amount (`) _____															
	☐ ` 20 – 50 Lac		As on (date) <table border="1" style="display: inline-table; width: 150px; height: 20px; border-collapse: collapse;"> <tr> <td style="width: 12.5%; text-align: center;">D</td> <td style="width: 12.5%; text-align: center;">D</td> <td style="width: 12.5%; text-align: center;">M</td> <td style="width: 12.5%; text-align: center;">M</td> <td style="width: 12.5%; text-align: center;">Y</td> <td style="width: 12.5%; text-align: center;">Y</td> <td style="width: 12.5%; text-align: center;">Y</td> <td style="width: 12.5%; text-align: center;">Y</td> </tr> </table>								D	D	M	M	Y	Y	Y	Y
D	D		M	M	Y	Y	Y	Y										
	☐ ` 50 Lac – 1 crore		(Network should not be older than 1 year)															
	☐ Above ` 1 crore																	

E)	In case of FIIs/Others (as may be applicable)									
	RBI Approval Reference Number									
	RBI Approval date	D	D	M	M	Y	Y	Y	Y	
	SEBI Registration Number (for FIIs)									

F)	Bank details									
	1	Bank account type ☐ Savings Account ☐ Current Account ☐ Others (Please specify) _____								
	2	Bank Account Number _____								
	3	Bank Name _____								

	4	Branch Address												
			City/town/ village				PIN Code							
			State				Country							
	5	MICR Code												
	6	IFSC												
G)	Please tick, if applicable, for any of your authorized signatories/Promoters/Partners/Karta/Trustees/whole time directors:						☐ Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (PEP)							
H)	Clearing Member Details (to be filled up by Clearing Members only)													
	1	Name of Stock Exchange												
	2	Name of Clearing Corporation/ Clearing House												
	3	Clearing Member ID												
	4	SEBI Registration Number												
	5	Trade Name												
	6	CM-BP-ID (to be filled up by Participant)												
I)	Standing Instructions													
	1	We authorise you to receive credits automatically into our account.							☐ Yes ☐ No					
	2	Account to be operated through Power of Attorney (PoA)							☐ Yes ☐ No					
	3	Account to be operated through Demat Debit and Pledge Instruction (DDPI)							☐ Yes ☐ No					
	4	SMS Alert facility												
		Sr. No.	Holder				Yes	No						
		1	Sole/First Holder				☐	☐						
		2	Second Holder				☐	☐						
		3	Third Holder				☐	☐						
	5	Mode of receiving Statement of Account [Tick any one]		☐ Physical Form ☐ Electronic Form [Read Note 3 and ensure that email ID is provided in KYC Application Form].										
J)	List of family members (Separate Annexure maybe used in case number of members is higher)													
	Sr No.	Name of Coparcener/Member	Gender	Date of Birth	Relation with Karta	with	Whether Coparcener/Member (please specify)							

June, 2023 (5/10)

Acknowledgement

Participant Name, Address & DP ID

Received the application from M/s _____ as the sole/first holder alongwith _____ and _____ as the second and third holders respectively for opening of a depository account. Please quote the DP ID & Client ID allotted to you (CM-BP-ID in case of Clearing Members) in all your future correspondence.

Date:

D	D	M	M	Y	Y	Y	Y
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Participant Stamp & Signature

Declaration

The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by us and we have understood the same and we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. We hereby declare that the details furnished above are true and correct to the best of our knowledge and belief and we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, we are aware that we may be held liable for it. I/we acknowledge the receipt of copy of the document, “Rights and Obligations of the Beneficial Owner and Depository Participant”.

Authorised Signatories (Enclose a Board Resolution for Authorised Signatories. In case of HUF details of Karta to be given)

Sole/First Holder	Name	Signature(s)
First Signatory/Karta of HUF		X
Second Signatory		X
Third Signatory		X
<u>Other Holders</u>		
Second Holder		X
Third Holder		X

Mode of Operation for Sole/First Holder (In case of joint holdings, all the holders must sign. In case of HUF this is not applicable)	
☐ Any one singly	
☐ Jointly by	
☐ As per resolution	
☐ Others (please specify)	

Notes:

1. In case of additional signatures, separate annexures should be attached to the application form.
2. Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
3. For receiving Statement of Account in electronic form:
 - I. Client must ensure the confidentiality of the password of the email account.
 - II. Client must promptly inform the Participant if the email address has changed.
 - III. Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.
4. Strike off whichever is not applicable.

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For Corporates / Clearing Members Only

1) Account to be operated through Power of attorney (POA). Yes ☐ No ☐

2) Sole / First Holder's Details, Second Holder and Third Holder.

Sole / First Holder's Details

Mobile No.		
E-mail ID		
MAPIN id		
SMS Facility	Yes ☐	No ☐

Second Holder

Mobile No.		
E-mail ID		
MAPIN id		
SMS Facility	Yes ☐	No ☐

Third Holder

Mobile No.		
E-mail ID		
MAPIN id		
SMS Facility	Yes ☐	No ☐

3)

Address for communication / Corporate Benefits (Default option is Registered office Address	Registered / Permanent Address
	Correspondence Address / Foreign Address

4) Introduction by an existing account holder / applicant's bank.

Introduction (by an existing account holder / applicant's bank) DP ID :Client ID..... (incase of existing account holder) I confirm the identity and address of the applicant (s) \Name : Signature of the Introducer / Signature and Seal incase of Bank (To be verified by DP official)

COMPANY LETTER HEAD

**EXTRACT OF MINUTES OF THE MEETING OF THE BOARD OF
DIRECTORS OFHELD ON / / AT
THE REGD. OFFICE**

.....

"Resolved That pursuant to the provisions of the Section 292 and Section 372A of the Companies Act, 1956, and subject to other applicable provisions of the said Act, if any as applicable from time to time, Mr.....Director of the company be and hereby authorise individually and empowered to invest funds of the Company in Equity Share / preference Shares / Debentures (Convertible / Non convertible) of any Company, Government securities (Central, State and / or Semi Government) any type of units of Mutual funds, (Private, Central, State and / or Semi Government) and other securities issued under the Companies Act, 1956 provided that total amount upto which the funds to be invested as aforesaid shall not exceed the limits provided under the aforesaid Sections till otherwise decided in this regard.

FURTHER RESOLVED THAT the aforesaid signatories be and are hereby individually authorised to sell, subscribe and to sign application form(s), forms of Renunciation(s) transfer deed(s), receipt(s) and/or other paper (s)/documents(s) as may be required in the aforesaid matter.

FURTHER RESOLVED THAT a trading account of the Company be opened in the CAPITAL MARKET, FUTURES & OPTIONS and CURRENCY segment of NSE and BSE, with M/s KK Securities Ltd., member NSE and BSE under name and style of ".....".

FURTHER RESOLVED THAT Shri.....Director of the Company be and is authorised to operate the CAPITAL MARKET, FUTURES & OPTION and CURRENCY segment of NSE and BSE trading account and to do all such act deeds and things as may be necessary of this purpose.

RESOLVED THAT the Depository account of the Company be and is hereby opened with DP M/s KK Securities Ltd. (DP ID-IN300468) under the name and style of".

It is further resolved that Shri Director of the Company be and is authorised to operate depository account and issue all the instructions, to sign all papers and agreement required to open and operate the account from time to time and to deal all other matters with depository participant

FURTHER RESOLVED THAT a copy of this resolution may be forwarded wherever required. under the signature of the Chairman or any one of the aforesaid Signatories.

Certified to be true copy

For

CHAIRMAN

NOTE : TO BE SIGNED BY AT LEAST TWO DIRECTORS.



KK SECURITIES LIMITED

Member : National Stock Exchange of India Ltd. (CM, F&O and Currency)

Member : Bombay Stock Exchange Ltd. (BSE) (CM, F&O)

Member : MCX Stock Exchange Ltd. (CM, F&O)

Participant : National Securities Depository Ltd.

Regd. Office : 76-77, Scindia House, 1st Floor,

Janpath, Connaught Place, New Delhi-110 001

Tel.: 23722916, 23323028, 41514344 (10 Lines)

Fax : 011-23723571

KK SECURITIES LTD. (DP ID - IN300468)

Tarif Chart

Name	Rates
Account Opening	NIL
Advance Deposit	NIL
AMC	Rs. 700 - P.A.
Demat	RS. 10/- PER CERT. + COURIER CHARGES
Remat	RS 20/- PER CERT. + COURIER CHARGES./ RS. 10 per hundred Securities as per NSDL rules.
Delivery instruction/Debit	RS. 8/- PER ISIN
Pledge Creation	RS 50/- PER ISIN
Pledge Invocation	RS 50/- PER ISIN
Pledge Closure	RS 10/- PER ISIN

I/We acknowledge receipt of Tariff Chart.

Client ID :-

Client Name

Client Signature

Mumbai Office : 3-B, Tamarind House, 36, Tamarind Lane, Near Bombay House, Fort, Mumbai-400 023

visit us at : www.kksecurities.com E-mail : kksl@satyam.net.in

Investor Grievance E-mail Id : kkslig@hotmail.com

June, 2023 (9/10)