



BGSE FINANCIALS LIMITED

CIN-U67120KA1999PLC025860

Stock Exchange Towers, 51, 1st cross, J.C. Road, Bangalore-560027.

Email: enquiry@bfsi.co.in Website : www.bfsi.co.in Tel: 080-41575234 / 35 (Reception)

C&S :41575538, DP: 41575553 / 4, RTA: 41329661 / 66673353, Systems: 41575209

Investor Charter for Stock Brokers

Annexure-B

Data for 31st March 2026

Sr. No.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time/ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Stock Exchanges	0	0	0	0	0	0	0
4	Other Sources(if any)	0	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0	0

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending **
1.	April-2025	0	0	0	0
2.	May-2025	0	0	0	0
3.	June-2025	0	0	0	0
4.	July-2025	0	0	0	0
5.	August-2025	0	0	0	0
6.	September-2025	0	0	0	0



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7.	October-2025	0	0	0	0
8.	November-2025	0	0	0	0
9.	December-2025	0	0	0	0
10.	January-2026	0	0	0	0
11.	February-2026	0	0	0	0
12.	March-2026	0	0	0	0

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^ Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

Sr.No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021-22	0	1	0	1
2	2022-23	1	3	3	1
3	2023-24	1	5	6	-
4	2024-25	0	0	0	0
5	2025-26	0	0	0	0
	Grand Total	2	9	9	2