



## BGSE FINANCIALS LIMITED

CIN-U67120KA1999PLC025860

Stock Exchange Towers, 51, 1<sup>st</sup> cross, J.C. Road, Bangalore-560027.

Email: enquiry@bfsi.co.in Website : www.bfsi.co.in Tel: 080-41575234 / 35 (Reception)

C&S :41575538, DP: 41575553 / 4, RTA: 41329661 / 66673353, Systems: 41575209

### Investor Charter for Stock Brokers

#### Annexure-B

#### Data for 31<sup>st</sup> May 2026

Sr. No.	Received from	Carried forward from previous	Received during the	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time/ \ (in
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors							
2	SEBI (SCORES)							
3	Stock Exchanges							
4	Other Sources(if any)							
5	Grand Total							

#### Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending **
1.	April-2026	0	1	1	0
2.	May-2026	0	0	0	0



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\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^ Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### Trend of annual disposal of complaints

Sr.No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021-22	0	1	0	1
2	2022-23	1	3	3	1
3	2023-24	1	5	6	-
4	2024-25	0	0	0	0
5	2025-26	0	0	0	0
6	2026-27	0	1	1	0
	<b>Grand Total</b>	2	10	10	2