



**MONARCH**  
**NETWORK CAPITAL**  
*—wealthcare redefined*



# Account Opening Form Equity



No.: .....

Client Code : .....

Branch Name/Code : **PROVIDE THE BRANCH DETAILS** .....

BO ID : .....

Date of Activation : .....



**ATTENTION**

Declaration pursuant to National Stock Exchange of India Circular bearing reference No. NSE/INSP/2011/121 dated 22nd August, 2011 and Bombay Stock Exchange Limited Circular bearing reference No. 20110823/4 dated 23rd August, 2011 and MSEI circular bearing reference No. MCX-SX/INSP/628/2011 dated 24th August, 2011 and CDSL/OPS/DP/Policy/2615 dated 23rd August, 2011 read with SEBI circular bearing reference No. Cir/MIRSD/16/2011 dated 22nd August, 2011.

Declaration pursuant to PMLA by SEBI vide its circular ISD/AML/CIR-1/2010 dted 12th February, 2011.

**CASH RECEIPTS & PAYMENTS STRICTLY NOT ALLOWED**

Constituents are requested to make payments to **“Monarch Network Capital Limited”** by Cheques / Account transfers.

In case Demand Drafts / Pay-Orders, constituent to substantiate that the same is drawn favouring **Monarch Network Capital Limited** with his / her / their permission and knowledge.

If the aggregate value of prefunded instrument i.e. Demand Draft / Pay order / Bankers cheque etc. is above ₹ 50,000/- then the client needs to submit as follow : (1) Certificate from the issuing bank on its letterhead or on a plain paper with the seal of the issuing bank. (2) Certified copy of the requisition slip (portion which is retained by the bank) to issue the instrument. (3) Certified copy of the passbook / bank statement for the account debited to issue the instrument. (4) Authentication of the bank account number debited and name of the account holder of the issuing bank on the reverse of the instrument.

**MARGIN DEPOSIT, IF ANY, BY CONSTITUENT ALONGSIDE SIGNING UP OF KYC:**

<b>Cheque Details:</b>	Chq.No. _____ Dated _____ Amount _____
	Bank _____
<b>Collateral Details:</b>	1. _____
	2. _____

Professional Clearing Member NSE & BSE - F&O, CD MSEI - F&O, CD <b>IL&amp;FS Securities Services Ltd</b> IL&FS House, Plot No 14, Raheja Vihar, Chandivali, Andheri (E), Mumbai - 400 072.	<b>Monarch Network Capital Limited (MNCL)</b>		
	SEBI Regn. Nos. :-		
	NSE Cash (CM)	}	INZ 000008037 dt.15.07.2015
	NSE Derivatives (F&O)		
	NSE Currency Derivatives (CD)		
	BSE Cash (CM)		
	BSE Derivatives (F&O)		
	BSE Currency Derivatives (CD)		
	MSEI Cash (CM)	}	IN-DP-278-2016 dt. 21.07.2016
	MSEI Derivatives (F&O)		
MSEI Currency Derivatives (CD)			
CDSL DP - 35000			
NSDL DP - IN303052	}	- ARN-8812 dt. 05.08.2010	
MFSS Segment - AMFI			

(This information is the sole property of Monarch Network Capital Limited and would not be disclosed to anyone unless required by law or except with express permission of client)

Compliance Officer Name	Mr. Nikhil Parikh		
Tel No.	079-26666500	Email id:	compliance@mnclgroup.com
Managing Director Name	Mr. Vaibhav J. Shah		
Tel No.	022-30641600	Email id:	compliance@mnclgroup.com

For any grievance / dispute please contact **Monarch Network Capital Limited (MNCL)** at the above address or email id- reachus@mnclgroup.com and Tel. No. 022-30641700 and Toll Free No. 1800 22 0223. In case not satisfied with the response, please contact the concerned exchange(s) for **NSE** for - [ignse@nse.co.in](mailto:ignse@nse.co.in) or 022-26598190 / **BSE**- [is@bseindia.com](mailto:is@bseindia.com) or 022-22728097 / **MSEI** - customerservice@msei.in or 022-61129009



ACK\_F

**ACKNOWLEDGEMENT**Date : DD/MM/YYYY

Monarch Network Capital Limited  
 901/902, 9th Floor, Atlanta Centre, Opp. Udyog Bhawan,  
 Sonawala Road, Goregaon (E), Mumbai - 400 063

Dear Sir,

This has reference to necessary Know Your Client Form containing basic information, additional information and other documents executed by me. I hereby acknowledge receipt of following documents from you:-

S.No.	Particulars (Mandatory as prescribed by Exchange)	Page Nos.
1.	Documents containing mandatory Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories	1-4
2.	Documents containing voluntary Rights & Obligations of stock broker, sub-broker and client forming part of documents as mentioned in clause 1 above.	5-11
3	Internet & wireless technology based trading facility provided by stock brokers to client	12-13
4.	Risk Disclosure Documents.	14-19
5.	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	20-22
6.	Policy and Procedures document. (Voluntary as prescribed by Member Broker)	23-30

S.No.	Particulars (Voluntary as prescribed by Member Broker)	Page Nos.
1.	Containing Clause (1-27) Voluntary documents pertaining to non-mandatory documentation and tariff sheet duly signed by me.	31-36

I state that I have read and understood all above documents which are available at [www.mnclgroup.com](http://www.mnclgroup.com) and these documents are binding upon me.

Thanking you,

Signature X I XXXX X \_\_\_\_\_ X \_\_\_\_\_  
 Sole/First Holder Second Holder Third Holder

**ACKNOWLEDGEMENT SLIP****Application No. :**

We hereby acknowledge the receipt of the Account Opening Form:

Date \_\_\_\_\_

Name of the Sole / First Holder \_\_\_\_\_

Name of Second Joint Holder \_\_\_\_\_

Name of Third Joint Holder \_\_\_\_\_

Nomination in favour of \_\_\_\_\_ as per

Registration No. \_\_\_\_\_ Registered on \_\_\_\_\_

along with Cheque No. \_\_\_\_\_ dated \_\_\_\_\_ amount \_\_\_\_\_

drawn on \_\_\_\_\_ towards \_\_\_\_\_

**Depository Participant Seal and Signature**



KYB\_B

**HELP US KNOW YOU BETTER****Customer Profile Sheet**

1.	<b>House :</b> <input type="checkbox"/> Self owned <input type="checkbox"/> Parental <input type="checkbox"/> Rented		
2.	<b>Vehicle:</b> <input type="checkbox"/> 2 - Wheeler <input type="checkbox"/> 4-Wheeler		
3.	<b>How often do you travel aboard</b> <input type="checkbox"/> Monthly <input type="checkbox"/> Every 6 Months <input type="checkbox"/> Once in Year		
4.	<b>Club Membership(s):</b> _____		
5.	<b>What is your source of stock markets information</b> <input type="checkbox"/> TV Channels <input type="checkbox"/> News Paper <input type="checkbox"/> Friends / Relatives <input type="checkbox"/> Broker <input type="checkbox"/> Magazines <input type="checkbox"/> Others _____		
6.	<b>Your preferred TV content</b> <input type="checkbox"/> Busines <input type="checkbox"/> News <input type="checkbox"/> Sports <input type="checkbox"/> Entertainment <input type="checkbox"/> Movie		
7.	<b>Your preferred News Paper</b> <input type="checkbox"/> English _____ <input type="checkbox"/> Hindi _____ <input type="checkbox"/> Regional Language _____		
8.	<b>Your preferred Magazines</b> <input type="checkbox"/> Business <input type="checkbox"/> News <input type="checkbox"/> Sports <input type="checkbox"/> Entertainment <input type="checkbox"/> Health <input type="checkbox"/> Lifestyle		
9.	<b>What is your market / stock reference</b>		
	<b>Market Preference</b>	<b>Stock Preference</b>	
	<input type="checkbox"/> Speculator <input type="checkbox"/> Regular Investor <input type="checkbox"/> Occasional Investor <input type="checkbox"/> Trader	<input type="checkbox"/> High Risk Return <input type="checkbox"/> Blue chip <input type="checkbox"/> Stock valued below Rs. 10 <input type="checkbox"/> Stocks recommended by Research houses	
10.	<b>Client Risk Profile</b> <input type="checkbox"/> Low <input type="checkbox"/> Medium <input type="checkbox"/> High		
11.	<b>You are currently invested in</b> <input type="checkbox"/> Mutual Funds <input type="checkbox"/> Insurance <input type="checkbox"/> Shares <input type="checkbox"/> Commodities <input type="checkbox"/> Bonds <input type="checkbox"/> FD's <input type="checkbox"/> Real Estate		
12.	<b>What kind of Insurance plans you have availed &amp; invested in</b> <input type="checkbox"/> Pension plans <input type="checkbox"/> ULIP <input type="checkbox"/> Health <input type="checkbox"/> Endowment <input type="checkbox"/> Motor <input type="checkbox"/> Others _____		
13.	<b>Any ongoing Loans :</b> If Yes <input type="checkbox"/> YES <input type="checkbox"/> NO <input type="checkbox"/> Personal <input type="checkbox"/> Motor <input type="checkbox"/> Home <input type="checkbox"/> Loan against Property <input type="checkbox"/> Commercial vehicle loans <input type="checkbox"/> Construction Equipment Loans <input type="checkbox"/> SME Business Loans <input type="checkbox"/> Others _____		
14.	<b>Mode of communication you prefer to receive information generally</b> <input type="checkbox"/> Email <input type="checkbox"/> SMS <input type="checkbox"/> Telephone <input type="checkbox"/> Courier/Post		



## KNOW YOUR CLIENT (KYC) APPLICATION FORM

### INDEX - PART-A

<b>MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI UNDER NOTIFICATION NO. CIR/MIRSD/16/2011 (22.08.2011)</b>			
Sr. No.	Name of the Document	Brief Significance of the Document	Pg. Nos.
1.	Know Your Client or Account Opening Form	A] KYC Form - Document captures basic information about the constituent (for Individuals) B] Document captures the additional information of the constituent relevant to trading account (for non-Individuals)	1 - 2 3 - 4
2.	Trading Account Details	Details of Constituent pertaining to bank account / Depository / Past actions.	5 - 9
3.	Brokerage Tariff Details	Document detailing the rate/amount of brokerage and other charges levied on the constituent for trading on the Stock Exchange(S)	7
4.	Policies & Procedure	Policies & Procedure for Client dealing All Exchanges	10
5.	BO Nomination Form	Details pertaining to Beneficiary Owner	11-12
6.	Schedule of Charges (BO Account)	Tariff details pertaining to BO Account	13
7.	Declaration By HUF	Declaration for operating Beneficiary Account (by Karta/HUF)	15
8.	SMS Alert Facility	Terms & Conditions - cum Registration / Modification for receiving SMS Alerts from CDSL/NSDL	16-18

### VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER - PART B

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4.	Star MF / MFSS	Mutual Fund Registration BSE / NSE	21
6.	Write up on PMLA	Brief Writeup on the provisions of prevention of money laundering Act 2002 (PMLA) which constituent needs to know.	24
7.	Fema Declaration	Declaration to be given by NRI.	24
8.	Authorisation for debiting various depository charges		24
9.	MTF Rights & Obligations	MINCL MTF Rights & Obligations/, Terms & Conditions	25- 28
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## INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM

### A. IMPORTANT POINTS:

- Self attested copy of PAN card is mandatory for all clients, including Promoters/Partners/Karta/Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
- Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
- If any proof of identity or address is in a foreign language, then translation into English is required.
- Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
- If correspondence & permanent address are different, then proofs for both have to be submitted.
- Sole proprietor must make the application in his individual name & capacity.
- For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
- For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
- In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
- For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
- Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

### B. Proof of Identity (POI): - List of documents admissible as Proof of Identity:

- Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
- PAN card with photograph.
- Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

### C. Proof of Address (POA): - List of documents admissible as Proof of Address:

(\* Documents having an expiry date should be valid on the date of submission)

- Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.
- Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
- Bank Account Statement/Passbook -- Not more than 3 months old.
- Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
- Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
- Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
- For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
- The proof of address in the name of the spouse may be accepted.



**D. Exemptions/clarifications to PAN**

(\*Sufficient documentary evidence in support of such claims to be collected.)

- In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
- Investors residing in the state of Sikkim.
- UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
- SIP of Mutual Funds upto ₹ 50,000/- p.a.
- In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

**E. List of people authorized to attest the documents:**

- Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
- In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

**F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:**

Types of entity	Documentary requirements
<b>Corporate</b>	<ul style="list-style-type: none"> <li>● Copy of the balance sheets for the last 2 financial years (to be submitted every year).</li> <li>● Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year).</li> <li>● Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations.</li> <li>● Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly.</li> <li>● Copies of the Memorandum and Articles of Association and certificate of incorporation.</li> <li>● Copy of the Board Resolution for investment in securities market.</li> <li>● Authorised signatories list with specimen signatures.</li> </ul>
<b>Partnership firm</b>	<ul style="list-style-type: none"> <li>● Copy of the balance sheets for the last 2 financial years (to be submitted every year).</li> <li>● Certificate of registration (for registered partnership firms only).</li> <li>● Copy of partnership deed.</li> <li>● Authorised signatories list with specimen signatures.</li> <li>● Photograph, POI, POA, PAN of Partners.</li> </ul>
<b>Trust</b>	<ul style="list-style-type: none"> <li>● Copy of the balance sheets for the last 2 financial years (to be submitted every year).</li> <li>● Certificate of registration (for registered trust only).</li> <li>● Copy of Trust deed.</li> <li>● List of trustees certified by managing trustees/CA.</li> <li>● Photograph, POI, POA, PAN of Trustees.</li> </ul>



<b>HUF</b>	<ul style="list-style-type: none"> <li>● PAN of HUF.</li> <li>● Deed of declaration of HUF/ List of coparceners.</li> <li>● Bank pass-book/bank statement in the name of HUF.</li> <li>● Photograph, POI, POA, PAN of Karta.</li> </ul>
<b>Unincorporated association or a body of individuals</b>	<ul style="list-style-type: none"> <li>● Proof of Existence/Constitution document.</li> <li>● Resolution of the managing body &amp; Power of Attorney granted to transact business on its behalf.</li> <li>● Authorized signatories list with specimen signatures.</li> </ul>
<b>Banks/Institutional Investors</b>	<ul style="list-style-type: none"> <li>● Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years.</li> <li>● Authorized signatories list with specimen signatures.</li> </ul>
<b>Foreign Institutional Investors (FII)</b>	<ul style="list-style-type: none"> <li>● Copy of SEBI registration certificate.</li> <li>● Authorized signatories list with specimen signatures.</li> </ul>
<b>Army/ Government Bodies</b>	<ul style="list-style-type: none"> <li>● Self-certification on letterhead.</li> <li>● Authorized signatories list with specimen signatures.</li> </ul>
<b>Registered Society</b>	<ul style="list-style-type: none"> <li>● Copy of Registration Certificate under Societies Registration Act.</li> <li>● List of Managing Committee members.</li> <li>● Committee resolution for persons authorised to act as authorised signatories with specimen signatures.</li> <li>● True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.</li> </ul>

**G. ADDITIONAL DOCUMENTS IN CASE OF TRADING IN DERIVATIVES SEGMENTS - ILLUSTRATIVE LIST:**

Copy of ITR Acknowledgement	Copy of Annual Accounts
In case of salary income - Salary Slip, Copy of Form 16	Net worth certificate
Copy of demat account holding statement.	Bank account statement for last 6 months
Any other relevant documents substantiating ownership of assets.	Self declaration with relevant supporting documents.

\*In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.

**H.** Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code and IFSC Code of the bank should be submitted.

**I.** Demat master or recent holding statement issued by DP bearing name of the client.

**J. For individuals:**

- Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/sub-broker's office.
- In case of non-resident clients, employees at the stock broker's local office, overseas can do in-person' verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy / Consulate General in the country where the client resides may be permitted.

**K. For non-individuals:**

- Form need to be initialized by all the authorized signatories.
- Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in securities on behalf of company/firm/others and their specimen signatures.





**Regd Office :** 901/902, 9th Floor, Atlanta Centre, Opp. Udyog Bhawan, Sonawala Road, Goregaon (E), Mumbai - 400 063.  
Tel : 022-3064 1600 Fax : 022-2685 0257 • Website : www.mnclgroup.com

**Corp. Office:** Monarch House, Nr. Ishwar Bhuvan, Commerce Cross Rd, Navrangpura, Ahmedabad-380014  
Tel: 079-26666500 • Fax:079-2666599 • E-mail: reachus@mnclgroup.com

**Processing Center:** Hira Panna Commercial Complex, 2nd Floor, G.N. Chetty Road, T Nagar, Chennai, Tamilnadu - 600017

**CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Individual**

**Important Instructions:**

- A) Fields marked with "\*" are mandatory fields.
- B) Please fill the form in English and in BLOCK letters.
- C) Please fill the date in DD-MM-YYYY format.
- D) Please read section wise detailed guidelines / instructions at the end.
- E) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- F) List of two character ISO 3166 country codes is available at the end.
- G) KYC number of applicant is mandatory for update application.
- H) For particular section update, please tick (✓) in the box available before the section number and strike off the sections not required to be updated.



**For office use only**

(To be filled by financial institution)

Application Type\*

New  Update

KYC Number

\_\_\_\_\_ (Mandatory for KYC update request)

Account Type\*

Normal  Simplified (for low risk customers)  Small

**1. PERSONAL DETAILS** (Please refer instruction A at the end)

**FILL IN THE REQUIRED DETAILS**

	Prefix	First Name	Middle Name	Last Name
Name* (Same as ID proof)	XXX	XXXXXXXX		
Maiden Name (If any*)		XXXXXXXX		
Father / Spouse Name*		XXXXXXXX		
Mother Name*		XXXXXXXX		
Date of Birth*	XX	XX	XXXX	
<input checked="" type="checkbox"/> Gender*	<input type="checkbox"/> M- Male		<input type="checkbox"/> F- Female <input type="checkbox"/> T- Transgender	
<input checked="" type="checkbox"/> Martial Status*	<input type="checkbox"/> Married		<input type="checkbox"/> Unmarried <input type="checkbox"/> Others	
<input checked="" type="checkbox"/> Citizenship*	<input type="checkbox"/> IN-Indian		<input type="checkbox"/> Others (ISO 3166 Country Code ____)	
<input checked="" type="checkbox"/> Residential Status*	<input type="checkbox"/> Resident Individual		<input type="checkbox"/> Non Resident Indian	
	<input type="checkbox"/> Foreign National		<input type="checkbox"/> Person of Indian Origin	
	<input type="checkbox"/> S-Service ( <input type="checkbox"/> Private Sector		<input type="checkbox"/> Public Sector <input type="checkbox"/> Government Sector)	
<input checked="" type="checkbox"/> Occupation Type*	<input type="checkbox"/> O-Others ( <input type="checkbox"/> Professional		<input type="checkbox"/> Self Employed <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student)	
	<input type="checkbox"/> B-Business			
	<input type="checkbox"/> X-Not Categorized			

**SIGNATURE**

Signature / Thumb Impression

**2. TICK IF APPLICABLE**  RESIDENCE FOR TAX PURPOSES IN JURISDICTION(S) OUTSIDE INDIA (Please refer instruction B at the end)

ADDITIONAL DETAILS REQUIRED\* (Mandatory only if section 2 is ticked)

ISO 3166 Country Code of Jurisdiction of Residence\* \_\_\_\_\_

Tax Identification Number or equivalent (If issued by jurisdiction)\* \_\_\_\_\_

Place / City of Birth\* \_\_\_\_\_

ISO 3166 Country Code of Birth\* \_\_\_\_\_

**3. PROOF OF IDENTITY (PoI)\*** (Please refer instruction C at the end)

**TICK ON RELEVANT BOX**

(Certified copy of any one of the following Proof of Identity [PoI] needs to be submitted)

<input type="checkbox"/> A- Passport Number	_____	Passport Expiry Date	DD	MM	-	YYYY
<input type="checkbox"/> B- Voter ID Card	_____					
<input checked="" type="checkbox"/> C- PAN Card	XX	_____				
<input type="checkbox"/> D- Driving Licence	_____	Driving Licence Expiry Date	DD	MM	-	YYYY
<input checked="" type="checkbox"/> E- UID (Aadhaar)	XX	_____				
<input type="checkbox"/> F- NREGA Job Card	_____					
<input type="checkbox"/> Z- Others (any document notified by the central government)	_____	Identification Number	_____			
<input type="checkbox"/> S-Simplified Measures Account - Document Type code	_____	Identification Number	_____			

**4. PROOF OF ADDRESS (PoA)\*** **TICK ON RELEVANT BOX**

4.1 CURRENT / PERMANENT / OVERSEAS ADDRESS DETAILS (Please see instruction D at the end)

(Certified copy of any one of the following Proof of Address [PoA] needs to be submitted)

Address Type*	<input type="checkbox"/> Residential / Business	<input type="checkbox"/> Residential	<input type="checkbox"/> Business	<input type="checkbox"/> Registered Office	<input type="checkbox"/> Unspecified
Proof of Address*	<input type="checkbox"/> Passport	<input type="checkbox"/> Driving Licence	<input type="checkbox"/> Voter Identity Card	<input type="checkbox"/> NREGA Job Card	<input type="checkbox"/> Others _____
	<input type="checkbox"/> S-Simplified Measures Account - Document Type code	_____			

Address

Line 1\* XXXX \_\_\_\_\_

Line 2 XXXX \_\_\_\_\_

Line 3 XXXX \_\_\_\_\_ City / Town / Village\* \_\_\_\_\_

District\* \_\_\_\_\_ Pin / Post Code\* \_\_\_\_\_ State / U.T Code\* \_\_\_\_\_ ISO 3166 Country Code\* \_\_\_\_\_





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**NETWORK CAPITAL**  
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**Regd Office :**  
 901/902, 9th Floor, Atlanta Centre, Opp. Udyog Bhawan,  
 Sonawala Road, Goregaon (E), Mzumbai - 400 063.  
 Tel : 022-3064 1600 Fax : 022-2685 0257  
 Website : www.mnclgroup.com

Application No. \_\_\_\_\_

Client Code issued \_\_\_\_\_

**Corp. Office:** Monarch House, Nr. Ishwar Bhuvan, Commerce Cross Rd, Navrangpura, Ahmedabad-380014  
 Tel: 079-26666500 • Fax:079-2666599 • E-mail: reachus@mnclgroup.com

**Processing Center:** Hira Panna Commercial Complex, 2nd Floor, G.N. Chetty Road, T Nagar, Chennai, Tamilnadu - 600017

**KNOW YOUR CLIENT (KYC) APPLICATION FORM - Non-Individuals**

Please fill this form in **English** and in **Block Letters**

Affix recent  
 passport size  
 photograph  
 sign across  
 the face  
 X 2

**IDENTITY DETAILS**

Name of the Applicant \_\_\_\_\_

Date of Incorporation 

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

 Place of Incorporation \_\_\_\_\_

Date of commencement of business: 

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

PAN \_\_\_\_\_ Regn. No. (e.g. CIN) \_\_\_\_\_

**Status (please tick any one)**

- |  |  |   |                                      |  |                                    |
|--|--|---|--------------------------------------|--|------------------------------------|
| <input type="checkbox"/> Private Limited Co. | <input type="checkbox"/> Public Ltd. Co.             | <input type="checkbox"/> Body Corporate             | <input type="checkbox"/> Partnership | <input type="checkbox"/> Trust                         | <input type="checkbox"/> Charities |
| <input type="checkbox"/> NGO's               | <input type="checkbox"/> FI                          | <input type="checkbox"/> FII                        | <input type="checkbox"/> HUF         | <input type="checkbox"/> AOP                           | <input type="checkbox"/> Bank      |
| <input type="checkbox"/> Government Body     | <input type="checkbox"/> Non-Government Organization | <input type="checkbox"/> Defense Establishment      | <input type="checkbox"/> BOI         |  |                                    |
| <input type="checkbox"/> Society             | <input type="checkbox"/> LLP                         | <input type="checkbox"/> Qualified Foreign Investor | <input type="checkbox"/> Mutual Fund | <input type="checkbox"/> Others (please specify) _____ |                                    |

**ADDRESS DETAILS**

<b>Address for Correspondence:</b>				<b>Registered Address : (If different from Correspondence):</b>			
City		PIN		City		PIN	
State		Country		State		Country	

**CONTACT DETAILS**

Tel. Off.	Tel. Resi.	Fax
Mobile	Email	
Specify the proof submitted for Correspondence Address		Specify the proof submitted for Registered Address

**OTHER DETAILS**

**Name, PAN, residential address and photographs of Promoters/Partners/Karta/Trustees and whole time directors:** (In case of additional list of directors separate sheet should be used)

Name	Name	Name
Residence Address	Residence Address	Residence Address
PAN No.	PAN No.	PAN No.
DIN/UID No.	DIN/UID No.	DIN/UID No.
<b>Photograph</b>	<b>Photograph</b>	<b>Photograph</b>
Affix recent passport size photograph	Affix recent passport size photograph	Affix recent passport size photograph
Signature		



NIKYC2\_B

**DECLARATION**

I/We hereby declare that the details furnished above are true and correct to the best of my our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting. I am/we are aware that I/we may be held liable for it.

X3

**Name and Signature of the Authorised Signatory (ies)**

Date 

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

**IN PERSON VERIFICATION DONE BY**

Sr. No.	Particulars									
1.	<input type="checkbox"/> Originals verified and Self-Attested Document copies received.									
2.	In-Person-Verification (IPV) details :									
	a)	Name of the person doing IPV/Employee/SB/AP								
	b)	Designation								
	c)	Name of Organisation								
	d)	Signature								
	e)	Date								
Name & Signature of the Authorised Signatory		<table border="1" style="display: inline-table;"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y			
Date	<table border="1" style="display: inline-table;"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y	Seal/Stamp of the intermediary
D	D	M	M	Y	Y	Y	Y			



### TRADING / DEMAT ACCOUNT RELATED DETAILS

**MNCL IS ENGAGED IN PRO TRADING AND AS A MARKET MAKER ON BSE-SME SEGMENT**

**FUNDS PAYOUT THROUGH NATIONAL ELECTRONIC FUND TRANSFER (NEFT)**

I /We **CLIENTS NAME** through NEFT. My bank details are as given below for your records. request you to release my / our funds payout

Bank Name	XXXXXX																	
Bank Address	XXXXXX																	
Account Number	X	X	X	X	X	X	X	X	X	X	X	X						
Account Type	<input checked="" type="checkbox"/> Saving			<input type="checkbox"/> Current				Others (please specify)										
IFSC Code	X	X	X	X	X													
MICR Code	X	X	X	X														

TICK ON A/C TYPE

I /We hereby declare that the above mentioned details are correct & the Monarch Network Capital Limited or any of their group companies shall not be held responsible for any kind of wrong transfer due to incorrect information given by me / us.

Please provide cancelled cheque leaf for MICR & IFSC Code.

### DEPOSITORY ACCOUNT(S) DETAILS

DP Name	
DP ID	
BO Client ID	
Depository	NSDL / CDSL
DP Address	

For HUF, Partnership Firm, Unregistered Trust, Association of Persons (AOP) etc., although the account is opened in the same of the karta, partner(s), trustee(es) etc., the name & PAN of the HUF, Partnership Firm, Unregistered Trust, Association of Persons (AOP) etc., should be mentioned below:

a) Name		b) PAN											
---------	--	--------	--	--	--	--	--	--	--	--	--	--	--

### In case of FII/Others (as may be applicable)

RBI Approval Reference Number													
RBI Approval date													
SEBI Registration Number (for FIIs)													

### BENEFICIARY NAME (s)

1.	
2.	
3.	

### TRADING PREFERENCE

\*Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.

Exchange Segment	NSE		BSE		MSEI	
Cash	x 4	XXXX	x 5	XXXX	x 6	XXXX
F & O	x 7	XXXX	x 8	XXXX	x 9	XXXX
Currency	x 10	XXXX	x 11	XXXX	x 12	XXXX
<b>Other Preferences</b>						
Mutual Fund	x 13	XXXX	XXXXXX		XXXX	

# If, in future, the client wants to trade on any new segment/new exchange, separate authorization/letter should be given by the client to the stock broker.



ECN\_B

### PAST ACTIONS

Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years:

### DEALINGS THROUGH SUB-BROKERS AND OTHER STOCK BROKERS

If client is dealing through the sub-broker, provide the following details:

Sub-broker's Name:			
Registered office address:	NSE SEBI Regn. No.:		
	BSE SEBI Regn. No.:		
	Tel.:		
	Fax:		
	Website :		

Whether dealing with any other stock broker/sub-broker (in case dealing with multiple stock brokers/sub-brokers, provide details of all)

Name of stock broker:			
Name of Sub-Broker, if any:			
Client Code:			
Exchange:			
Details of disputes/dues pending from/to such stock broker/sub- broker:			

### ADDITIONAL DETAILS

<ul style="list-style-type: none"> <li>Whether you wish to receive physical contract note or Electronic Contract Note (ECN) (please specify):</li> </ul>	<input checked="" type="checkbox"/> Electronic Contract Note <input type="checkbox"/> Physical
<ul style="list-style-type: none"> <li>Specify your Email id, if applicable:</li> </ul>	XXXXX
<ul style="list-style-type: none"> <li>Whether you wish to avail of the facility of internet trading/ wireless technology (please specify):</li> </ul>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<ul style="list-style-type: none"> <li>Number of years of Investment/Trading Experience:</li> </ul>	
<ul style="list-style-type: none"> <li>Any other information:</li> </ul>	

### INTRODUCER DETAILS FILL IN THE DETAILS

Name of the Introducer	XXXXX
Branch / SB / RM / Employee Code	XXXXX
Status of the Introducer: <span style="color: magenta;">TICK AS APPLICABLE</span>	<input type="checkbox"/> Sub-broker <input type="checkbox"/> Remisier <input type="checkbox"/> Authorized Person <input type="checkbox"/> Existing Client <input type="checkbox"/> Employee <input type="checkbox"/> Others, please specify _____
Address and Tel No of the Introducer	XXXXX
Group Code	XXXXX
Introducer's Signature	



**OTHER DETAILS (MANDATORY)**

**IN CASE OF INDIVIDUAL & NON INDIVIDUAL FILL IN THE RELEVANT DETAILS**

<b>Gross Annual Income Details (please specify): Income Range per annum: (₹ in lacs)</b>					
<input type="checkbox"/> Below ₹ 1 Lac	<input type="checkbox"/> ₹ 1 to ₹ 5 Lac	<input type="checkbox"/> ₹ 5 to ₹ 10 Lac	<input type="checkbox"/> ₹ 10 to ₹ 25 Lac		
<input type="checkbox"/> Above ₹ 25 Lac	<input type="checkbox"/> ₹ 25 to ₹ 1 crore	<input type="checkbox"/> Above ₹ 1 crore			
<b>OR</b>					
<b>Net-worth as on date</b>	₹ (Net worth should not be older than 1 year)				
<b>Occupation</b> (please tick any one and give brief details):	<input type="checkbox"/> Private Sector	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Government Service	<input type="checkbox"/> Business	<input type="checkbox"/> Student
	<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Retired	<input type="checkbox"/> Housewife	<input type="checkbox"/> Others
<b>Please tick, if applicable:</b>	<input type="checkbox"/> Politically Exposed Person (PEP)		<input type="checkbox"/> Related to a Politically Exposed Person (PEP)		
<b>Any other information :</b>					

**DECLARATION ACCEPTANCE FOR TRADING ACCOUNT**

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- I confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
- I further confirm having read and understood the contents of the 'Rights and Obligations' document(s), 'Risk Disclosure Document' and 'Guidance Note' with 'Policy and Procedures'. I do hereby agree to be bound by such provisions as outlined in these documents. I have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

**BROKERAGE TARIFF DETAILS**

**GENERAL BROKERAGE RATES**

Mention on percentage or paisa very clearly	Trading / Intra-day First leg-Second leg	Minimum (Mention on percentage or paisa)	Delivery (CM) / Carry forward (F&O)	Minimum (Mention on percentage or paisa)	Minimum (per Options contract)
<b>CM Segment</b>	<b>0.03 %</b>	<b>0.03 Paisa</b>	<b>0.30 %</b>	<b>0.03 Paisa</b>	<b>N.A.</b>
<b>F&amp;O Segment</b>	<b>0.03 %</b>	<b>0.03 Paisa</b>	-	-	₹ 100/-
<b>Currency</b>	<b>0.03 %</b>	<b>0.03 Paisa</b>	-	-	₹

**SPECIAL BROKERAGE RATES**

Mention on percentage or paisa very clearly	Trading / Intra-day First leg-Second leg	Minimum (Mention on percentage or paisa)	Delivery (CM) / Carry forward (F&O)	Minimum (Mention on percentage or paisa)	Minimum (per Options contract)
<b>CM Segment</b>					<b>N.A.</b>
<b>F&amp;O Segment</b>					
<b>Currency</b>					

Note :

- The above rates are exclusive of Stamp Duty, Securities Transaction Tax and Service Tax which will be charged extra at the rate prevailing from time to time. Further it is exclusive of Exchange Transaction.
- The General Rates as mentioned here shall be applied unless the Special Rates as may be agreed by the Client and the same are mentioned above.
- For Internet Based Trading (IBT) clients, additional charges with regard to software access etc. will be applicable as per the terms agreed at relevant point in time.

X 14 **XXXXX**

Signature



TOA\_B

I / We request you to open a demat account in my/our name as per the following details :

<input type="checkbox"/>	<b>NSDL</b>
<input checked="" type="checkbox"/>	<b>CDSL</b>

**Holders Details**

Sole / First Holder's Name	XXXXX		PAN	X	X	X	X	X											
			UID	X	X	X	X	X											
<b>Occupation</b> (please tick any one and give brief details):	<input type="checkbox"/> Private Sector	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Government Service	<input type="checkbox"/> Business		<input type="checkbox"/> Student													
	<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Retired	<input type="checkbox"/> Housewife		<input type="checkbox"/> Others													
Second Holder's Name	XXXXX		PAN																
			UID																
<b>Occupation</b> (please tick any one and give brief details):	<input type="checkbox"/> Private Sector	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Government Service	<input type="checkbox"/> Business		<input type="checkbox"/> Student													
	<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Retired	<input type="checkbox"/> Housewife		<input type="checkbox"/> Others													
Third Holder's Name			PAN																
			UID																
<b>Occupation</b> (please tick any one and give brief details):	<input type="checkbox"/> Private Sector	<input type="checkbox"/> Public Sector	<input type="checkbox"/> Government Service	<input type="checkbox"/> Business		<input type="checkbox"/> Student													
	<input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Retired	<input type="checkbox"/> Housewife		<input type="checkbox"/> Others													

\* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

Name *		PAN																	
--------	--	-----	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

**Type of Account - Individual (Please tick whichever is applicable) XXXXX**

<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/C (MANTRA)	<input type="checkbox"/> Individual - Director <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Minor <input type="checkbox"/> Other (specify) _____
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI - Depository Receipts	<input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Other (specify) _____
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National - Depositor Receipts <input type="checkbox"/> Other (specify) _____

**Type of Account - Non-Individual (Please tick whichever is applicable)**

Status			Sub - Status							
<input type="checkbox"/> Body Corporate <input type="checkbox"/> Banks <input type="checkbox"/> Trust <input type="checkbox"/> Mutual Fund <input type="checkbox"/> OCB <input type="checkbox"/> FII <input type="checkbox"/> CM <input type="checkbox"/> FI <input type="checkbox"/> Clearing House <input type="checkbox"/> Other (specify) _____			To be filled by the DP							
SEBI Registration No. (If Applicable)		SEBI Registration Date	D	D	M	M	Y	Y	Y	Y
RBI Registration No. (If Applicable)		RBI Approval Date	D	D	M	M	Y	Y	Y	Y
Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Others (specify) _____									

**Guardian Details (where sole holder is a minor):**

[For account of a minor, two KYC Application Forms must be filled i.e. one for the guardian and another for the minor (to be signed by guardian)]

Guardian Name	
PAN	
Relationship of guardian with minor	

I/We instruct the DP to receive each and every credit in my/ our account (If not marked, the default option would be 'Yes')	Automatic Credit <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Account to be operated through Power of Attorney	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
I/We would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our end (If not marked, the default option would be 'No')	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No





Account Statement Requirement	<input checked="" type="checkbox"/> As per SEBI Regulation	<input type="checkbox"/> Daily	<input type="checkbox"/> Weekly	<input type="checkbox"/> Fortnightly	<input type="checkbox"/> Monthly
I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID _____	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No			
I / We would like to share the email ID with RTA	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No			
I / We would like to receive the Annual Report	<input type="checkbox"/> Physical /		<input type="checkbox"/> Electronic		
(Tick the applicable box. If not marked the default option would be in Physical)					
SMS Alert Facility	First Holder - <input type="checkbox"/> Yes <input type="checkbox"/> No	Second Holder - <input type="checkbox"/> Yes <input type="checkbox"/> No	Third Holder - <input type="checkbox"/> Yes <input type="checkbox"/> No		

<b>Clearing Member Details</b> (to be filled up by Clearing Members only)		
1	Name of Stock Exchange	
2	Name of Clearing Corporation/Clearing House	
3	Clearing Member ID	
4	SEBI Registration Number	
5	Trade Name	
6	CM-BP-ID (to be filled up by Participant)	

<b>Any other information :</b>	
--------------------------------	--

<b>Please tick, if applicable, for any of your authorized signatories/Promoters/Partners/Karta/Trustees/whole time directors:</b>	<input type="checkbox"/> Politically Exposed Person (PEP)
	<input type="checkbox"/> Related to a Politically Exposed Person (PEP)

### DECLARATION ACCEPTANCE FOR DEMAT ACCOUNT

The rules and regulations and Bye laws of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/we have understood the same and we agree to abide by and to be bound by the rules, regulations and bye laws as are in force from time to time for such accounts, I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting. I am / we are aware that I/we may be held liable for it. In case non-resident account, I/We also declare that I/We have complied and will continue to comply with FEMA regulations. I/We acknowledge the receipt of copy of the document, "Rights and Obligations of the Beneficial Owner and Depository Participant".

Sole/First Holder	Name (s) of holder(s)	Signature(s) of holder
Sole/First Holder/ Guardian/ Authorised Signatory (in case sole holder is minor) (Mr./Mrs.)	XXXXXX	X 15      XXXXX
Second Holder/ Authorised Signatory (Mr./Mrs.)	XXXXXX	X      XXXXX
Third Holder/ Authorised Signatory (Mr./Mrs.)		X

<b>Mode of Operations for Sole/First Holder (In case of Joint holdings, all the holders must sign)</b>	
<input type="checkbox"/> Any one singly	<input type="checkbox"/> Jointly by
<input type="checkbox"/> As per resolution	<input type="checkbox"/> Others (please specify)

**Notes:**

- In case of additional signatures, separate annexures should be attached to the application form.
- Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
- For receiving Statement of Account in electronic form:
  - Client must ensure the confidentiality of the password of the email account.
  - Client must promptly inform the Participant if the email address has changed.
  - Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.
- Strike off whichever is not applicable.



POLICIES\_B

## POLICIES AND PROCEDURES FOR CLIENT DEALINGS – ALL EXCHANGES -

### MANDATORY

(as required by SEBI circular MIRSD/ SE /Cir-19/2009 dated December 3, 2009)

1. **Penny / Illiquid Stocks or contracts;** The Client Understands and agrees that the trading member may at any time at its sole discretion and without prior notice, refuse, prohibit or restrict the client's ability to place orders or trades in penny / illiquid stocks or derivative contracts. For this purpose penny / illiquid stock means stocks which are appearing in the list of illiquid securities issued by the exchanges every month and derivative contract means , the contracts which becomes illiquid during some time of its existence validity time period.
2. **Setting up exposure Limit:** The client is aware & agrees that the trading member may need to vary or reduce the exposures limit or impose new limits urgently on the basis of trading members risk perception and other factors considered relevant by the stock brokers. The trading member may be unable to inform the client of such variation, reduction or imposition in advance.
3. **Brokerage rate:** The client understands and agrees that the trading member charge brokerage and other statutory charges and levies as per the rates mentioned in the schedule of charges of the client registration form.
4. **Delayed payment charges / Penalty:** The Client agrees that without prejudice to any other remedy or right prescribed in the present, the trading member may charge delayed payment / penalty charges on the debit balance of the client which is not settled as per exchange norms, @ 22% per annum.
5. **Position Square off:** The client is aware and agrees that in case of debit balance in client account, non-payment of margin or client's dues as per exchange norms, the trading member may sell client securities or close client's position, without giving any notice to the client and loss / profit arise on such transaction will be treated as normal profit / loss of the client.
6. **Internal Shortage:** In case of internal shortage of securities, any entry passed to the account of the client in accordance with the practice consistently followed by the trading member across all its client shall be binding on the client. Further in case of shortage of securities, the client aggress and authorize trading member to buy shares on behalf of the client and debit the amount for the same to the client trading account maintained with the trading member.
7. **Restrictions on further position or close out:** The client is aware and aggress that in case of insufficient balance in his trading account on account of pay in / MTM margin obligation or non payment of client's dues as per the exchange norms, the trading member may refuse to take further position and / or close the existing position of the client to make client account good.
8. **Temporary Suspension or closing:** The client shall be entitled to temporarily suspend / close his account without giving any reason to the trading member, after giving notice in writing. Notwithstanding any such termination, all rights, liabilities and obligations of the parties arising out of or in respect of transactions entered in to prior to the termination of this agreement shall continue to subsist and vest in /be binding on the respective parties.
9. **Deregistering:** In the event of default under this agreement by the client, the trading member shall be entitled to any or all of the following course of action:
  - I. Immediate termination of this agreement and termination of the provisions of services in terms of this agreement.
  - II. Other remedies as may be available in terms of law in force, at that point of time.
  - III. Arbitration in terms of this agreement.

Signature

X 19 **XXXX** \_\_\_\_\_



NOM1\_F

**BO NOMINATION FORM (DEMAT AND TRADING)**Dear Sir/Madam **TICK ON ANY ONE**

- I/We do not wish to nominate any one for this demat account. *(In this case, strike off nominee details section and sign)*
- I/We nominate the following person who is entitled to receive security balance lying in my/our account, particulars whereof are given below, in the event of the death of sole holder or the death of all the joint holders.

<b>BO ACCOUNT DETAILS</b>			
DP ID	CDSL/NSDL I 2035000/IN303052	Client ID	
Name of the Sole/First Holder	XXXXX		
Name of Second Holder			
Name of Third Holder			

**NOMINATION DETAILS ALL IN THE REQUIRED DETAILS**

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all securities held in the Depository by me / us in the said beneficiary owner account in the event of my / our death.

<b>Nomination can be made upto three nominees in the account.</b>		<b>Details of 1<sup>st</sup> Nominee</b>	<b>Details of 2<sup>nd</sup> Nominee</b>	<b>Details of 3<sup>rd</sup> Nominee</b>
1.	Name of the nominee(s) (Mr./Ms.)	XXXX (First Name) (Middle Name) (Surname)	(First Name) (Middle Name) (Surname)	(First Name) (Middle Name) (Surname)
2.	Share of each Nominee Equally <input type="checkbox"/> [If not equally, please specify percentage]	Any odd lot after division shall be transferred to the first nominee mentioned in the form. (for NSDL)		
	*Residual Securities [Please tick any one nominee. If tick not marked default will be first Nominee]:	(for CSDL)	(for CSDL)	(for CSDL)
X	3. Relationship With the Applicant (If Any)			
	4. Address of Nominee(s)          Pincode	XXXX XXXX		
	5. Mobile/Telephone No. of nominee(s)			
	6. Email ID of nominee(s)			
X	7. Nominee Identification details – [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank Account No. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID			
<b>Sr. Nos. 8-14 should be filled only if nominee(s) is a minor:</b>				
8.	Date of Birth {in case of minor nominee(s)}			
9.	Name of Guardian (Mr./Ms.) {in case of minor nominee(s)}			
10.	Address of Guardian(s)          Pincode			



NOM2\_F

11.	Mobile/Telephone no. of Guardian			
12.	Email ID of Guardian			
13.	Relationship of Guardian with nominee			
14.	Guardian Identification details – [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank Account No. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID			

Place \_\_\_\_\_ Date \_\_\_\_\_

	FIRST / SOLE HOLDER	SECOND HOLDER	THIRD HOLDER
<b>Specimen Signatures</b>	X 17 XXXXXX	X	X

Note: Atleast one witness signature (s) / Thumb impression(s) required.

<b>DETAILS OF THE WITNESS FILL IN THE DETAILS (MANDATORY)</b>	
	First Witness
Name of Witness	
Address of Witness	
Signature of Witness	X <b>MANDATORY SIGNATORY OF WITNESS</b>

**(To be filled by the Depository Participant)****For Monarch Network Capital Limited**

Nomination accepted and registered vide

Registration No. \_\_\_\_\_ dated \_\_\_\_\_

(Authorised Signatory)

\* Note: Residual securities: In case of multiple nominees, please choose any one nominee who will be credited with residual securities remaining after distribution of securities as per percentage of allocation. If you fail to choose one such nominee, then the first nominee will be marked as nominee entitled for residual shares, if any.

Notes:

- The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non- individuals including society, trust, body corporate and partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly, all joint holders will sign the nomination form.
- A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
- The Nominee(s) shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
- Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
- Transfer of securities in favour of a Nominee(s) shall be valid discharge by the depository and the Participant against the legal heir.
- The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non- individuals including society, trust, body corporate and partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
- On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee(s).
- Nomination can be made upto three nominees in a demat account. In case of multiple nominees, the Client must specify the percentage of share for each nominee that shall total upto hundred percent. In the event of the beneficiary owner not indicating any percentage of allocation/share for each of the nominees, the default option shall be to settle the claims equally amongst all the nominees.
- On request of Substitution of existing nominees by the beneficial owner, the earlier nomination shall stand rescinded. Hence, details of nominees as mentioned in the FORM 10 at the time of substitution will be considered. Therefore, please mention the complete details of all the nominees.
- Copy of any proof of identity must be accompanied by original for verification or duly attested by any entity authorized for attesting the documents, as provided in Annexure D.
- Savings bank account details shall only be considered if the account is maintained with the same participant.
- DP ID and client ID shall be provided where demat details is required to be provided.

## Schedule of Charge Structure for DEMAT Services Individual and Corporate Investor

SERVICE	CHARGE			
	<input type="checkbox"/> DEPOSIT*	<input type="checkbox"/> Regular	<input type="checkbox"/> BSDA**	<input type="checkbox"/> Corporate
Annual Maintenance Charge  <b>SELECT THE REQUIRED SCHEME</b>	NO AMC Deposit Rs. 2,500/- (Refundable)*	Rs. 400/- p.a.	<b>NIL</b> (If value of holding is up to 50000) <b>Rs. 100/-</b> (If value of holding is between 50001 to 200000)	Rs. 1500/- p.a. (Financial Year Basis)
Account Administration Charges	NIL	Rs. 100/-	Rs. 100/-	NIL
Transfer (Buy/Credit)	NIL	NIL	NIL	NIL
Transfer (Sell/Debit) Market MNCL Account	Rs. 15/-	Rs. 15/-	Rs. 25/-	Rs. 15/-
Market / Off Market Transfer Other than MNCL	Rs. 25/-	Rs. 25/-	Rs. 50/-	Rs. 25/-
Demat	Rs. 5/- Per Certificate with min Rs. 40/- Per request + Rs. 45/- Courier Charges pr request			
Remat	Rs. 45/- Postage Charges + Rs. 10/- Per 100 Securities / Rs. 20/- Per Certificate whichever is higher, Maximum 500000/-			
Pledge Creation	Rs. 50/- Per Instruction			
Pledge Closure / Invocation	Rs. 25/- Per Instruction			
Freeze-De-Freeze	Rs. 50/-			
Failed Instruction Charges	NIL			

**Notes :**

1. Service Tax at actual where applicable.
2. We reserve the rights to change/add charges with 30 days prior notice.
3. Charges/Tariffs shall be revised / changed at MNCL's discretion, intimation of which shall be done by E-mail/Post
4. Deposit amount Refundable on closure of account after deducting AMC charges till closure of account. (Maximum one year)\*
5. Opening Cheque must be require Payable At Par cheque / DD (Payable at Ahmedabad)
6. All payments should be in favour of "**Monarch Network Capital Limited**".
7. Currently I/We want to open regular Demat Account. I/We are aware that my regular Demat Account will be converted to BSDA as per SEBI circular No. CIR/MRD/DP/20/2015 dated 11th December 2015 at the end of the billing cycle if the same is eligible for the BSDA as per SEBI guidelines. Despite this, in subsequent years. I/ We hereby request you to allow me/us to continue the said Demat Account under normal scheme as availed by me/us at the time of account opening/modified (If any) to avail regular DP services. If I wish to convert the said Demat Account to BSDA, I/We shall intimate the same to MNCL

**BSDA\*\*:**

1. Scheme Applicable only Individual Account Holder.
2. I/We have read and understood the Securities and Exchange Board of India's guidelines for facility for a BSDA
3. First/Sole holder does not have / propose to have any other Demat Account across Depositories.
4. In case of BSDA, if the value of holdings exceeds more than Rs. 200000/- any date then charges will be levied as applicable to Regular Scheme (non-BSDA) from that date onwards.

**Acceptance by client**

I/We accept above Charges Structure.

DP ID										Client ID									
-------	--	--	--	--	--	--	--	--	--	-----------	--	--	--	--	--	--	--	--	--

Signature      X 19 XXXXXX                      X \_\_\_\_\_                      X \_\_\_\_\_  
Sole/First Holder                      Second Holder                      Third Holder





## DECLARATION BY HUF

Name : \_\_\_\_\_

Address : \_\_\_\_\_

Date : \_\_\_\_\_

To,  
Monarch Networth Capital Limited  
901/902, 9TH Floor, Atlanta Centre,  
Opp. Udyog Bhuvan,  
Sonawala Lane,  
Goregoan (East) Mumbai-400 063

Dear Sir,

We, the undersigned members of \_\_\_\_\_

(HUF) are desirous of opening a Trading / Demat Account in the above-mentioned name with you. The said account will be operated by \_\_\_\_\_ (Karta) on behalf of \_\_\_\_\_ (HUF).

We hereby undertake that all the transactions undertaken by the said HUF shall be binding not only on the present members of the said joint family (both adults and minors) but also on all future members thereof (both adults and minors) and all persons entitled to a share therein and ourselves personally.

Also that whenever any change occurs in the management or in the constitution of the said joint family of whatsoever nature, we undertake to give notice thereof to you.

Sr. No.	Name of Member	Designation	Relationship	Date of Birth	Signature
1.		Karta			
2.		Co-Parcener			
3.		Co-Parcener			
4.		Co-Parcener			
5.		Co-Parcener			
6.		Co-Parcener			
7.		Co-Parcener			

Thanking you,

Yours faithfully,

X \_\_\_\_\_

(HUF Stamp & Sign)





SMSA1\_F

**SMS Alert facility (SMART) from CDSL****Terms and Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL**

<b>3. SMS Alert Facility</b>		<input checked="" type="checkbox"/> First Holder	<input type="checkbox"/> Second Holder	<input type="checkbox"/> Third Holder
<small>Refer to Terms &amp; Conditions on our Website</small>	Mandatory, if you are giving Power of Attorney (POA) If POA is not granted & you do not wish to avail of this facility, cancel this option.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
Transactions Using Secured Texting Facility (TRUST). <small>Refer to Terms and Conditions on our Website</small>	I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same. I wish to register the following clearing member IDs under my below mentioned BO ID registered for TRUST. Stock Exchange Name/ID: _____ Clearing Member Name : _____ Clearing Member ID (Optional): _____	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
<b>Easi-Electronic Access to Securities Information</b>	To register for Easi, please visit our website www.cdslindia.com Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	

**Definition:**

- 1 “Depository” means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P. J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
- 2 ‘DP’ means Depository Participant of CDSL The term covers all types of DPs who are allowed to open demat accounts for investors.
- 3 ‘BO’ means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
- 4 SMS means “Short Messaging Service”
- 5 “Alerts” means a customized SMS sent to the BO over the said mobile phone number.
- 6 “Service Provider” means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
- 7 “Service” means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

**Availability:**

- 1 The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those account holders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance

or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.

- 2 The service is currently available to the BOs who are residing in India.
- 3 The alerts will be provided to the BOs only if they remain within the range of the service provider’s service area or within the range forming part of the roaming network of the service provider.
- 4 In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
- 5 The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

**Receiving Alerts:**

- 1 The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.





- 2 The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
  - 3 The BO also acknowledges that the readability accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.\
  - 4 The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
  - 5 The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
  - 6 The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at [complaints@cdslindia.com](mailto:complaints@cdslindia.com). The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
  - 7 The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
  - 8 The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
  - 9 If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.
- Fees:** Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.
- Disclaimer:** The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.
- Liability and Indemnity:** The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.
- Amendments:** The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.
- Governing Law and Jurisdiction:** Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.
- I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. I/We consent to CDSL providing to the service provider such information pertaining to account/ transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.







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### Running Account Authorization

I / we, maintaining a broking account with Monarch Network Capital Limited (MNCL) hereby authorize MNCL having office address at 901/902, 9th Floor, Atlanta Centre, Opp. Udyog Bhavan, Sonawala Road, Goregaon (E), Mumbai - 400 063 to operate my / our account on Running account basis.

I / we hereby authorize MNCL for the following with regard to my / our broking account for transactions in the Capital market, Derivatives Market (F&O) and Currency Derivative segments of NSE, BSE and MSEI where MNCL holds membership.

1) To effect transfer of my / our credit balances in the Capital or Cash market segment of NSE and/or BSE and/or MSEI to the ledger or credit of the Derivatives / Currency Derivatives segment of NSE and/or BSE and/or MSEI and/or USE vice-versa to meet the margin and/or settlement obligations on my/our accounts as necessitated.

I / we hereby declare that I / we have read and understood the general guidelines issued by exchanges to member brokers on releasing funds and securities pay-outs to constituents within 1 working day of the exchange pay-out. However being fully aware of the same, I /we have authorized MNCL to retain / utilize credits as mentioned above, for operational convenience.

2) To retain settlement credits in my / our account and to settle / release the credits or any such amount only on receipt of specific advice / instruction can either be oral or in writing. The above is applicable to both funds and securities.

On request, MNCL shall transfer the funds / securities lying in the credit of the client within one working day of the request if the same are lying with him and within three working days from the request if the same are lying with the clearing member/clearing corporation.

3) To retain and utilize the financial ledger credits (amounts) towards my / our obligations arising of trades executed through MNCL at any exchange segments in my / our account.

4) I understand that in respect of derivative market transaction, apart from margin liability as on the date of settlement, trading member may retain additional margins (maximum up to 125% of margin requirement on the day of settlement) to take care of any margin obligation arising in next days.

I also understand that in respect of cash market transaction, trading member may retain entire

pay-in obligation of funds & securities due from clients as on date of settlement.

5) I / we have opted to have settlement of funds and securities on a periodic basis as mentioned in point no.7 that shall be suitably informed by me / us / authorized representative (in case of non-individual) to MNCL and not to have a contract wise / bill-wise / settlement wise transfer of funds and securities between me / us and MNCL.

6) I / we may revoke the authorization at any time in writing and on revocation, it is understood that my / our account will be operated on a contract wise / bill-wise / settlement wise basis and all rights and obligations shall be applicable accordingly until renewal form is produced duly signed by me / us. I also understand that the revocation of the authorization shall be applicable immediately on receipt of the request by MNCL at Head Office.

7) I/we am/are aware that my/our account is maintained on a Running account on basis and as per the guidelines of SEBI, the actual settlement of funds or securities shall be done by the trading member, at least once in a calendar quarter or month. I / we hereby declare and authorize MNCL to settle funds / securities in my/our account on  Monthly  Quarterly basis.

8) I / we do hereby authorize MNCL to utilize / adjust my / our financial ledger credits towards my investments in Mutual Fund units, debentures, other form of securities, Initial Public Offers (IPOs), FPOs, Fixed Deposits, NCDs, bonds, New Fund Offers etc under my instruction either oral / written.

9) I/We hereby agree that MNCL can retain the amount of Rs.10000 across all segment subject to the condition that I have traded during the last Quarter”

I / we further state that this authorization is given by me / us purely out of our choice of convenience. I / we shall not make any claim whatsoever upon MNCL for MNCL standing guided by this authorization in favor of maintaining my / our account on running account basis.

X 23 XXXXXX

Constituent Signature

Date : DD/MM/YYYY

Place : \_\_\_\_\_



**MUTUAL FUND CONFIRMATION**

To  
Monarch Network Capital Ltd.  
901/902, 9th Floor, Atlanta Centre,  
Opp. Udyog Bhawan, Sonawala Road,  
Goregaon (E), Mumbai – 400 063.

Date: DD/MM/YYYY

Dear Sir.

**Subject: BSE Star MF/MFSS**

I/We CLIENT NAME am/are registered as your client with Client Code No. \_\_\_\_\_ and have entered into relationship with the Trading Member for the purpose of trading in the Capital Market Segment of Bombay Stock Exchange Ltd. (Exchange) and National Stock Exchange Ltd. (Exchange).

I/We am/are interested in availing the trading facility of the Exchange for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the BSE STAR MF and MFSS on the Exchange.

I/We am/are provides my consent for mutual fund trading against collateral lying my account. Also, aware that in event of non clearance of debit in my/our trading accounts; there is risk of stock collateral or MF collateral getting liquidated to extent of my/our ledger debit for recovery of trading debit.

For the purpose of availing the BSE STAR MF & MFSS. I/We state that Know Your Client details as submitted by me/us for the stock broking may be considered for the purpose of BSE STAR MF & MFSS and I/We further confirm that the details contained in same remain unchanged as on date.

I/We am/are willing to abide by the terms and conditions as mention in the circular dated December 2, 2009 and such other Notices/Circulars as may be specified by the Exchange/ICCI from time to time in this regards, for BSE STAR MF and Terms & Conditions mentioned in circular No. NSE/MFSS/003/2001 download Ref. No. NSE (MIC/13533) dated November 24, 2009.

I/We shall ensure also compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI)

I/We shall read and understand the contents of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I/We choose to subscribe/redeem. I/We further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes.

I/We therefore request you to register me/us as your client for participating in BSE STAR MF and MFSS.

Thanking you,

Yours faithfully,

Client Name XXXX  
Demat A/c No. \_\_\_\_\_  
1st Holder \_\_\_\_\_  
2nd Holder \_\_\_\_\_  
3rd Holder \_\_\_\_\_

X 24 XXXX

Client Signature/Authorise Signatories

Date : DD/MM/YYYY

Place: \_\_\_\_\_



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**IN CASE OF NON-INDIVIDUAL**

**Authority Letter in favour of an Authorised Representative**

Relationship of Account holder with the proposed authorised representative/mandate holder:

\_\_\_\_\_ (mention on Blood relation / Relative / Friend)

I/We, maintaining a broking account with Monarch Network Capital Limited (MNCL) hereby authorise \_\_\_\_\_

\_\_\_\_\_ aged \_\_\_\_\_ years, S/o. / Spouse of \_\_\_\_\_

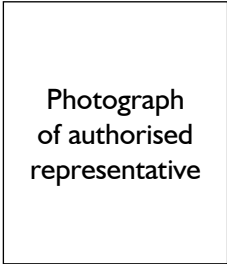
—  
and having office / residence address at \_\_\_\_\_

—  
\_\_\_\_\_ to operate my /our broking account.

My/our relationship with the above mentioned authorised representative is personal / professional. MNCL is authorised to accept orders for execution and instructions towards settlement of funds & securities, oral or in writing, in my/our account from the above mentioned authorised representative. I/We agree to honour all obligations arising out of such orders and instructions from the representative including any penal charges or dues consequent to any actions of the representative in the course of operating the broking account.

I/We hereby accept that confirmation by MNCL to the representative including delivery of contract notes, bills, ledgers and other general information necessitated in carrying out operations in the trading account shall be treated as effective and valid discharge of MNCL's obligation to keep me/us posted on the transactions and operations in the trading account.

I/We further state that this authorisation is given by me/us purely out of our choice of convenience. I/We shall not make any claim whatsoever upon MNCL for MNCL standing guided by this authorisation in favour of the above mentioned representative.



X \_\_\_\_\_  
(Signature of the Constituent)

I accept the mandate

\_\_\_\_\_  
(Signature of authorised representative)

Place \_\_\_\_\_

Date \_\_\_\_\_

**Encl:**

- 1] Mandatory ID proof or PAN Card of the authorised representative
- 2] Address proof of the authorised representative





AUTH\_CHAR\_B

### NOTE ON PMLA

Dear Investor!!

**Subject: Prevention of Money Laundering**

Money laundering is a process of making dirty money clean. Money is moved around the financial system again and again in such manner that its origin gets hidden.

It involves complex chain of activities whereby huge amount of money generated from illegitimate activities viz. selling of narcotic drugs, extortion, corruption, illicit dealing in weapons, human trafficking, etc. is put through a series of process so that it comes out at the other end as clean and legal money. Terrorist organizations encourage money laundering to support their illegal acts. It is important to note that due to increased vigilance in the wake of threats emanating from increasing terrorism, any failure on our part to discharge the duties cast on us under the applicable laws or we becoming an instrumental or a part of the chain, even if unknowingly or ignorantly, may invite the trouble.

In order to fight against the money laundering and terrorist financing the Prevention of Money Laundering Act (PMLA) was brought into force w.e.f. July 1, 2005 in India. Guidelines were also issued in the context of the recommendations made by the Financial Action Task Force on anti-money laundering standards. Compliance with these standards has become imperative for international financial relations.

PMLA is applicable to every intermediary registered with Securities & Exchange Board of India (SEBI), which includes a stockbroker, depository participant, portfolio manager, sub-broker and any other intermediary associated with securities market. As per the provisions of PMLA, the intermediaries are required to comply with Know Your Client (KYC) norms, conduct ongoing client due diligence to ensure that the activity being conducted in any account is consistent with the intermediary's knowledge of the client, its business and risk profile.

In light of the above, you are requested to provide the information or documents evidencing source of funds, income tax returns, bank records, demat holding, etc. at the time of registration as a client with us or subsequently whenever asked for during the course of your dealings with us.

### FEMA DECLARATION (To be submitted by NRI applicant)

To

Monarch Network Capital Limited  
901/902, 9th Floor, Atlanta Centre, Opp. Udyog Bhawan, Sonawala Road, Goregaon (E), Mumbai - 400 063

I/We hereby declare that all transactions, as may be entrusted by us to Monarch Network Capital Limited from time to time will be in strict conformity with the prevailing provisions of Foreign Exchange Management Act, 1999 (FEMA) and regulations/directions issued by Reserve Bank of India at the time the transactions are put through.

I/We declare that all the securities held by me / us and to be purchased were / will be, subject to necessary approvals and compliances under FEMA and any other applicable law.

I/We undertake to indemnify Monarch Network Capital Limited against any actual loss incurred by Monarch Network Capital Limited due to non compliance by me / us with FEMA or any other regulations in place.

I/We also hereby agree and undertake to give such information/documents as will satisfy you about transaction(s) in terms of the above declaration as may be require from time to time.

I/We also understand that if I/We refuse to comply with any such requirement or make only unsatisfactory compliance therewith, Monarch Network Capital Limited may refuse to undertake the transaction and shall if it has reason to believe that any contravention/evasion is contemplated by me/us report the matter to relevant authority/Reserve Bank of India.

Name of Applicant:

X XXXX  
Signature

### AUTHORISATION FOR DEBITING VARIOUS DEPOSITORY CHARGES

a) I hereby give my consent/authority to debit/recover all types of depository charges viz annual maintenance charges, inter settlement charges, any type of transaction charges as is levied on me for the transactions carried out in my demat account including any statutory levies, services tax or any other tax/charges/fees in/from my trading ledger having the captioned client code as maintained with MNCL. I understand and agree that such depository charges will be debited in my trading ledger maintained with MNCL irrespective of the ledger balance on periodically and/or as per the details provided by MNCL.

b) I instruct MNCL to provide the requisite information periodically and/or on occasion basis of such charges levied on me.

c) I understand and consent that MNCL shall have the right to recover the depository charges like any other trade dues payable by me from my trading ledger. I hereby further authorize MNCL to set off a part or whole of the collateral/ledger balances/securities in my demat account i.e. by way of appropriation of the relevant amount of cash or by way of sell or transfer or liquidation/close out positions of all or some of the securities placed as collateral or lying in my demat account as stated above for the purpose of clearing any outstanding amount related to the aforesaid demat account maintained with MNCL. Any and all losses and financial charges on account of such liquidation/close-out shall be borne by me.

X 25 XXXX  
Signature

### AUTHORISATION FOR ADJUSTMENT OF AMOUNTS/ BALANCES AND RETAINING OF FUNDS

I/We hereby authorize Monarch Network Capital Limited (hereinafter referred as MNCL) for adjusting Debits/Credits with regards to my/our broking account, for transactions in the Capital market, Derivatives market (F&O) and Currency Derivative segments of NSE, BSE and MSEI where MNCL holds membership.

X 26 XXXX  
Signature





## MARGIN TRADING FACILITY (MTF)

### Rights & Obligations / Terms & Conditions to avail MTF

Monarch Network Capital Ltd (MNCL), member of National Stock Exchange of India Limited (NSE), BSE Limited (BSE) and Metropolitan Stock Exchange of India Limited (MSEI) is eligible to provide Margin Trading Facility (MTF) in NSE and BSE to its clients in accordance with prescribed guidelines, rules and circulars issued by SEBI / Stock Exchanges from time to time. The Rights & Obligations / Terms & Conditions for MTF prescribed hereunder form part of account opening form, shall be read in conjunction with the rights and obligations as prescribed under SEBI circular no. CIR/ MIRSD/ 16/ 2011 dated August 22, 2011, SEBI Circular No. CIR/MRD/DP/54/2017 Dated June 13, 2017 and the Rules, Regulations, Bye laws, Rights and Obligations, Guidelines, circulars issued by respective Stock Exchanges from time to time.

#### **A. The Client undertakes, authorizes, confirms and agrees to/that:**

1. Avail MTF in accordance with the terms and conditions of MTF offered by MNCL.
2. MNCL at all times shall have the liberty to exercise its right in its sole discretion to determine the extent to which the MTF to be made available to the Client.
3. The Initial Margin payable shall be in the form of cash, cash equivalent, or Group I Equity securities with appropriate Hair cut margin applicable.
4. If the transaction is entered under MTF, there will not be any further confirmation that it is margin trading transaction other than contract note or statement sent on T+1 day in case client confirms MTF trade after issuance of contract note.
5. MNCL shall have discretion to allocate available securities/funds as collateral against MTF.
6. MNCL shall not be bound to grant MTF to the Client (which decision shall be at the sole and exclusive discretion of MNCL) and MNCL shall not be required to provide any reasons thereof nor shall MNCL be liable for any damages (whether direct or consequential or whether financial or non-financial) to the Client by reason of MNCL's refusal to grant MTF to the Client.
7. Client includes Individual, Company, Partnership Firm, Trust, Hindu Undivided Family, Association of Persons and Body of Individuals etc.
8. The terms / conditions / Obligations of the Client as amended from time to time shall be irrevocable and shall not be revoked by the death/dissolution/ winding up of the Client.
9. MNCL to retain and / or pledge the securities and its corporate benefits, if any, with MNCL utilized for availing MTF till the amount due in respect of the said transaction including the dues to MNCL is paid in full by the Client.
10. MNCL to hold and / or to appropriate the credit lying in the Client account and/or any unutilized/ unpledged shares/ securities lying in demat account along with all other demat accounts / Mutual Funds / IPO account of the Client towards the repayment of the outstanding dues thereof under MTF.
11. Treat the securities available in demat account/s linked to the trading account of the Client as margin towards the MTF availed.
12. MNCL may release/ unpledge / transfer the securities utilized for MTF within 5 working days from the date of clearing the dues to MNCL.

#### **Rights of the Client**

1. Free to take the delivery of the securities at any time from its MTF account to normal trading account by repaying the amount that was paid by MNCL to the Exchange towards securities after paying all dues.
2. May change the securities collateral offered for MTF at any time so long as the securities so offered are approved for MTF by MNCL.

#### **Obligations of the Client**

1. Transaction/s to be considered for exposure to MTF shall be informed to MNCL in writing or in any other irrefutable mode of communication not later than 10.00 AM on T+1 day, else the same shall be considered under normal trading facility.



2. By agreeing to avail MTF with MNCL, client is deemed to have authorized MNCL to retain and/or pledge the securities provided as collateral or purchased under the MTF till the amount due in respect of the said transaction including the dues to MNCL is paid in full by the client.

**B. MNCL undertakes, authorizes, confirms and agrees to/that:**

1. MNCL shall monitor and review on a continuous basis the client's positions with regard to MTF.
2. Additional exposure over debit balance (arising out of trade executed under normal trading facility), beyond fifth trading day reckoned from pay-in date, may be granted under MTF to the extent the Client is eligible and subject to availability of required margin. In such event, MNCL in its discretion may identify the eligible/excess securities available with the client and mark as collateral towards MTF. All credit arising out of sale transaction under MTF shall be first adjusted towards the debit under normal trading facility, if any and subject to adequate margin being maintained for the outstanding MTF debit.

**Rights of MNCL**

1. MNCL and client may agree between themselves the terms and condition including commercial terms if any before commencement of MTF.
2. MNCL may set up its own risk management policy that will be applicable to the transactions done under the MTF. MNCL may make amendments there to at any time but give effect to such policy after the amendments are duly communicated to the clients registered under the MTF.
3. MNCL has a right to retain and/or pledge the securities provided as collateral or the securities bought by the client under the MTF.
4. MNCL may liquidate the securities if the client fails to meet the margin call made by MNCL as mutually agreed of liquidation terms but not exceeding 5 working days from the day of margin call.

**Obligations of MNCL**

1. MNCL shall not use the funds and securities of one client to provide MTF to another client, even on the authority of the client.
2. The Securities deposited as collateral for availing MTF (Collaterals) and the Securities purchased under the MTF (Funded Securities) shall be identifiable separately and no comingling shall be permitted for the purpose of computing funding amount.
3. The daily margin statements sent by MNCL to the client shall identify the margin/collateral for Margin Trading separately.
4. In case the client determines to convert a normal trade into MTF after the issuance of contract note, MNCL shall issue appropriate records to communicate to the Client, the change in status of transaction from Normal to Margin trading and should include information like the original contract number and the margin statement and the changed data.
5. MNCL when makes a 'margin call' to the client, shall clearly indicate the additional / deficient margin to be made good.
6. MNCL shall close/terminate the account of the client forthwith upon receipt of request from the client subject to the condition that the client has paid the dues under MTF.
7. The Client may opt to terminate the MTF in the event of MNCL committing any breach of any terms or conditions herein or for any other reason.

**Mode of Communication**

1. Accept all types communications including consent to the Terms and Conditions, order / trade confirmation, revision in margin, margin calls / decision to liquidate the position / security / collateral, Margin statements, margin policies on haircuts / VAR margin, Risk management policies, Rights & obligations, allowable exposure, specific Security exposure etc herein through email / SMS from his email id / mobile number registered with MNCL or by online mode by logging-in on the website of MNCL in a secured manner or by physical mode, based on client's preference.
2. Any communication done with any of the aforesaid means shall be deemed to have been received by the client.



### Eligible Securities & Margin Required for MTF:

1. Securities specified under “MTF Approved Category List” by MNCL from time to time which must be a part of ‘Group I security’ as per Exchanges /SEBI shall be eligible for MTF. MNCL reserves the right to include or exclude any Securities from its “MTF Approved Category List” as per the discretion of Risk Management Committee without any prior intimation.
2. MNCL reserves the right to transfer any of the trades done in the normal market in the “MTF Approved Category List” to MTF trades at its discretion.
3. The client shall deposit the following initial margin, in order to avail MTF:

Category of Stock	Applicable Margin
Group I stocks available for trading in the F & O Segment	VaR + 3 Times of applicable ELM or MNCL MTF VaR (whichever is higher)
Group I stocks other than F&O stocks	VaR + 5 Times of applicable ELM or MNCL MTF VaR (whichever is higher)

4. The Initial Margin payable by the client shall be in the form of cash, cash equivalent, or Group I Equity securities with appropriate Hair Cut. Where the margin is made available by way of securities, MNCL is empowered to decline its acceptance of any securities as margin and/or to accept it at such reduced value as MNCL may deem fit by applying haircuts or by valuing it by marking it to market or by any other method as MNCL may deem fit in its absolute discretion.
5. Collateral shares value will be calculated at MNCL Collateral VAR and Funded Shares at MNCL MTF VAR, and it shall be marked to market daily for the purpose of computing the margin/shortage of margin.
6. MNCL at its sole and absolute discretion may increase / revise the limit of initial margin and maintenance margin, minimum transaction amount from time to time, subject to SEBI / Exchange / MNCL requirements in this respect. The Client shall abide by such revision, and where there is an upward revision of such margin amount, the client agrees to make up the revised margin immediately, not later than 3 working days from the day of margin call, failing which MNCL may exercise its discretion / right to liquidate the Collaterals and / or Funded Securities immediately.
7. The Client shall maintain the Maintenance Margin (100% of the Initial Margin) with MNCL at all the times.

### Margin Call

1. If the Client is intimated about the Margin shortage through any of the mutually agreed mode of communication, then the client shall make good such deficiency in the amount of margin placed with MNCL. Margin call will be made if Margin available falls below 100% of the Maintenance margin or (100% of initial margin required).

### Liquidation / Squaring-off of MTF Collaterals / Funded Securities by MNCL

MTF Collaterals / Funded Securities of client may be liquidated / squared off immediately in case of any of the following situations/conditions, without any further notice / intimation and all the losses and financial charges on account of such liquidation shall be charged and borne by the client:

1. If the value of the Collaterals / Margin deposited by client with MNCL to purchase Securities under MTF (Funded Securities), falls below the 70 % of the maintenance margin required;
2. If the shortage of the Maintenance margin (85 % of Margin required) continued for 3 trading days.
3. If the client fails to meet the margin call and margin shortage continued for 5 trading days from the day of margin call.
4. If any instrument for payment of Margin Money / Monies is / are dishonoured;
5. If the Client violates/breaches any provision of this Arrangement or provides any incorrect or misleading information;
6. If the Client has voluntarily or compulsorily become the subject of any proceedings under any bankruptcy or insolvency law or winding up or liquidation proceedings or has a receiver or liquidator appointed in respect of itself or its assets or makes an application or refers itself to any authority for being declared as a “sick company”, relief undertaking, bankrupt or insolvent or seeking financial reconstruction or any other like scheme (by whatever name called) or is dissolved or there is a change in the constitution whether on account of the admission of a new partner or the retirement, death or insolvency of any partner or otherwise;



7. The death, lunacy or other disability of the Client;
8. If there is reasonable apprehension that the Client is unable to pay its outstanding dues or has admitted its inability to pay its dues, as they become payable;
9. If the Client is convicted under any criminal law in force;
10. If any Asset or any Security is seized or made subject to any distress, execution, attachment, injunction or other process order or proceeding or is detained or taken into custody for any reason;
11. Default under any other arrangement or facility with any Stock Broker is made by the Client;
12. There exists any other circumstance, which in the sole opinion of MNCL, is prejudicial to the interests of MNCL;
13. Order passed by any regulatory, courts, statutory bodies etc.

#### **Charges**

1. Brokerage, Statutory Charges and Other Charges will be charged as mutually agreed between the client & MNCL within the prescribed limits as specified by SEBI / Exchanges.
2. All outstanding dues under MTF shall carry Interest up to 18% PA unless mutually agreed otherwise.
3. The dues, wherever mentioned herein, includes but not limited to outstanding balances, interest, statutory taxes, duties, charges, penalties etc in respect of MTF availed by the Client.

#### **Settlement of MTF Account**

1. Margin Trading Accounts where there was no transaction for 90 days shall be settled immediately provided there are no dues outstanding in the MTF account. Debit balance, if any, in the normal trading account shall be first adjusted against the MTF account and the remaining amount shall be paid to the Client.
2. Client's balances in any other segment / exchange can be adjusted / recovered against dues in MTF account.

#### **Dispute Resolution**

1. Client shall lodge protest or disagreement with any transaction done under MTF within 24 hours from the date of receipt of such document / statements / contract notes/ any other communications.
2. Any disputes arising between the client and MNCL in connection with the MTF shall be resolved through the investor grievance redressal mechanism and/or arbitration mechanism of the stock exchanges as in the case of normal trades.

#### **Termination of Relationship**

1. Client may close / terminate the MTF account at any time after paying the dues.
2. The margin trading arrangement between MNCL and the client shall be terminated; if the Stock Exchange, for any reason, withdraws the MTF provided to MNCL or MNCL surrenders the facility or MNCL ceases to be a member of the stock exchange.
3. The MTF facility may be withdrawn by MNCL, in the event of client committing any breach of any terms or conditions therein or at any time after due intimation to client allowing such time to liquidate the MTF position as per the agreed liquidation terms without assigning any reason. Similarly, client may opt to terminate the MTF in the event of MNCL committing any breach of any terms or conditions therein or for any other reason.
4. In the event of termination of this arrangement, the client shall forthwith settle the dues of MNCL. MNCL shall be entitled to immediately adjust the Margin Amount against the dues of the client, and the client hereby authorizes MNCL to make such adjustment.
5. After such adjustment, if any further amount is due from the client to MNCL, the client shall settle the same forthwith. Upon full settlement of all the dues of the client to MNCL, MNCL shall release the balance amount to the client.
6. If the client opts to terminate the MTF, MNCL shall forthwith return to the client all the collaterals provided and funded securities retained on payment of all the dues by clients.

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Signature





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## POWER OF ATTORNEY

SPECIFIC POWER OF ATTORNEY & INSTRUCTIONS IN FAVOUR OF MONARCH NETWORK CAPITAL LIMITED TO ALL TO WHOM THESE PRESENTS SHALL COME, I/We the described in the schedule hereto, having his/her/its address at the place mentioned in the schedule hereto (hereinafter referred to as "the Account Holder/Joint Account Holders/Client", which expression shall unless repugnant to the meaning and context thereof be deemed to mean and include his/her/legal heirs, representatives, executors, Successors and assigns, as the case may be) do hereby nominate, constitute and appoint.

Monarch Network Capital Limited, a company incorporate under the companies act, 1956, and having its registered office at 901/902, 9th Floor, Atlanta Centre, Opp. Udyog Bhawan, Sonawala Road, Goregaon (E), Mumbai - 400 063, (hereinafter referred to as "MNCL"/the Attorney", which expression shall, unless repugnant to the meaning and context thereof be deemed to mean and include its successors) and correspondence address as Monarch House, Nr. Ishwar Bhuvan, Commerce Cross Rd, Navrangpura Ahmedabad 380014 and our processing center at Hira Panna Commercial Complex, 2nd Floor, G.N. Chetty Road, T Nagar, Chennai, Tamilnadu - 600017, where DP operations are executed acting through any of its officers or employee or any sub agents or delegates to be my/our true and lawful Agent and Attorney for me/us and on my/our behalf and in my/our name from time to time to do and exercise or cause to be done and exercised all or cause to be done and exercise all or any of the acts, deeds, powers and authorities as herein mentioned:-

- A WHEREAS, the Trading Account Holder (as mentioned in the schedule hereto), wishes to participate in the online/offline trading facilities and/or other services being offered by MNCL through its portal [www.mnclgroup.com](http://www.mnclgroup.com) or through any other channel such as Business Associates, Branch, Customer Services, Call Center, etc. (or through any other portal as launched by MNCL
- B AND WHEREAS the Trading Account Holder has entered into Member Client Agreement(s) with MNCL in connection with availing various services provided/to be provided by MNCL through its portal [www.mnclgroup.com](http://www.mnclgroup.com) and/or offline trading platform to the Account Holder through Trading Account No. \_\_\_\_\_ (UCC)

(SPACE FOR FRANKING)

- C AND WHEREAS the Demat Accounts mentioned in Annexure – I hereto (or such other demat accounts as may be determined by me/us from time to time and intimated to MNCL) of which I/ We am /are the sole/joint holders shall be linked to the above mentioned Trading Account for the purpose of Settlement and margin obligation arising out of trades executed through the said Trading Account.
- D AND WHEREAS for efficient functioning of the above mentioned services and to facilitate proper execution of all transactions, I/We am/are desirous of appointing MNCL as my/our true and lawful Attorney and confer upon it the powers herein after stated.

I/we hereby authorize the attorney to do, exercise & perform all or any of the following acts, deeds and things

1. Transfer Securities held in my/our beneficial owner account(s) as mentioned in Annexure – I (as may be modified from time to time by me/us and duly intimated to MNCL) hereto towards Stock Exchange related margin/delivery obligations arising out of trades executed by me/us on the Stock Exchange through MNCL.
2. To instruct DP to debit Securities to the said account mentioned in Annexure – I (as may be modified from time to time by me/us and duly intimated to MNCL) and / or transfer securities from the said account to any other account, to the extent of shares sold through MNCL for pay-in obligations as well as for margin towards any Stock Exchange in cash and Derivatives/other segments as may be permitted.
3. To demand received and give good and effectual receipt(s) and discharge(s) for all or any dividends, interest, bonuses or any other sum(s) and /or income arising from the shares, debentures, units and other investments and to sign and endorse pay orders, dividends/interest warrants or certificates, receive all debts, sum of money, principal, interest, dividend or other dues of whatever nature or accounts which are now or at any time due and payable and belonging to me /us on any account whatsoever.
4. Pledge the securities lying in my/our accounts mentioned in Annexure – I (as may be modified from time to time by me/us and intimated to MNCL) in favor of MNCL for the purpose of meeting my/our margin requirement in connection with the trades executed by me/us on the stock Exchange through MNCL .
5. Pursuant to my/our instructions or instruction of my/our Authorised representative, to apply for acquiring, subscribing, redeeming, switching between investment products including but not





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- limited to mutual funds, public issues (shares as well as debentures), rights offer of shares tendering in open offers and to sell, transfer and endorse the same or redeem the same or otherwise deal in the securities and or any other investment product pursuant to my instruction or instruction of my / our authorized representative either through internet or otherwise using identification numbers issued by any mutual fund from time to time or otherwise, and / or to sign and to execute all transfer deeds whether as transferor or transferee and such other instruments, application and papers as may be necessary for acquiring or transferring / redeeming the same, marking pledge / lien on such securities and / or for transferring the investment in the units of mutual funds from one scheme to another or between mutual funds and / or otherwise may be required for dealing in securities or other investment products
6. Pursuant to my / our instructions or instructions of my / our authorized representatives, to make application for or to renounce and sign renunciation forms in respect of bonds / debentures, rights shares and additional shares of any company / body / authority and to receive and hold such rights or additional shares / bonds or debentures.
  7. Pursuant to my / our instructions or instructions of my / our authorized representatives, to issue orders and instructions for acquisition and disposal of investment for and on my / our behalf and to purchase or otherwise acquire, sell or otherwise dispose off or otherwise deal / invest in securities.
  8. To sign the necessary forms and other documents, if any, and to file and register the same with the company / concerned entities to give effect to the powers conferred herein.
  9. To forward all applications for subscription of securities, placed through the website to the online:IPO/other module of the concerned exchanges/ authority/ concerned entity/ platform.
  10. To do all such acts and things as may be necessary to give effect to the subscription / purchase / redemption or any other transaction pursuant to my / our instructions or instruction of my / our authorized representative in any securities and / or investment product for which services are availed from MNCL.
  11. To send a consolidated summary of the Client's scrip-wise buy and sell position taken with average rates to me/us by way of an SMS/email on a daily basis notwithstanding any other document to be disseminated as specified by SEBI from time to time.

**LIST OF DEMAT ACCOUNT OF THE CLIENTS.**

			Annexure – 1
Sr. No	Name of the Account Holder	DP ID	Client ID
1.			
2.			

**LIST OF DEMAT ACCOUNT OF MNCL**

			Annexure – 2
Sr. No.	Name of the Account Holder	DP ID	Client ID
1.	Monarch Network Capital Limited (CDSL BSE - Pool A/c.)	12035000	00000014
2.	Monarch Network Capital Limited (CDSL BSE- Principal A/c.)	12035000	00000029
3.	Monarch Network Capital Limited (CDSL NSE - Pool A/c.)	12035000	01114703
4.	Monarch Network Capital Limited (NSDL BSE - Pool A/c.)	IN303052	10789002
5.	Monarch Network Capital Limited (NSDL NSE - Pool A/c.)	IN303052	10788995
6.	Monarch Network Capital Limited (CDSL Cash Margin A/c.)	12035000	00000071
7.	Monarch Network Capital Limited (NSDL Cash Margin A/c.)	IN303052	10788761
8.	Monarch Network Capital Limited (CDSL F&O Margin A/c.)	12035000	00000086
9.	Monarch Network Capital Limited (NSDL F&O Margin A/c.)	IN303052	10788770
10	Monarch Network Capital Limited (NSDL Currency Margin A/c.)	IN303052	10788788
11	Monarch Network Capital Limited (CDSL Client BO)	12035000	00000107
12	Monarch Network Capital Limited (NSDL NSE Margin A/c.)	IN303052	10788796
13	Monarch Network Capital Limited (NSDL BSE Margin A/c.)	IN303052	10836659
14	Monarch Network Capital Limited (CDSL MSEI - Pool A/c.)	12035000	01041331
15	Monarch Network Capital Limited (NSDL MSEI - Pool A/c.)	IN303052	10800051
16	National Stock Exchange of India Limited	NSCCL (NSDL)	IN565576
	National Stock Exchange of India Limited	NSCCL (CDSL)	110000100017837
17	Bombay Stock Exchange Limited	ICCL (NSDL)	IN620031
	Bombay Stock Exchange Limited	ICCL (CDSL)	1100001000020972
18	Monarch Network Capital Ltd. (NSDL MTF FUNDED STOCK COLLATERAL ACCOUNT)	IN303052	10864994
19	Monarch Network Capital Ltd. (NSDL MTF BEN/COLLATERAL ACCOUNT)	IN303052	10865001





The abovementioned list of Demat Accounts may be changed by MNCL from time to time with intimation to the clients in writing / through the website / via e-mail.

AND GENERALLY to do all such other lawful acts and things as the Attorney shall advisable for the purposes aforesaid as fully and effectually in all respects as I / We could do myself / ourselves and to act as fully and effectually for all intents and purposes in all dealings and transactions between myself / ourselves and the said MNCL as I / we could if personally present and acting in the matters and transactions aforesaid.

AND I / We do hereby agree to confirm and ratify all and every act or things done by the Attorney within the scope of the authority hereby conferred on it and all deeds or documents executed by it in my / our name or on my /our behalf under this specific power of Attorney shall be binding on me /us as if the same were executed by me / us. My/Our Attorney shall not be liable for any

loss that may result from failure / inability of electronic connectivity of rejection of my /our application for any reason whatsoever.

The aforesaid Power of Attorney has been granted, the instructions herein have been given and the agreements herein contained have been agreed to by me /us in consideration of the said Agreement and the mutual rights, covenants and obligations there under, and are revocable by me / us by giving an intimation to the Attorney in writing and the cessation of this authority shall not affect or impair any act thereto done in exercise of these presents. Such intimation shall be duly signed by me /us and should be received at the Head office of the Attorney. The revocation shall be with immediate effect on receipt of the request at Head Office.

IN WITNESS whereof I / We have executed this power of Attorney on the day, year and place herein below mentioned in the schedule

Signed and Delivered by (Schedule above referred to)

Demat A/c. No \_\_\_\_\_

**Holder's**

**Trading Account and Ist Holder :**

Mr. / Mrs. XXXX \_\_\_\_\_

X 18 XXXX \_\_\_\_\_

Address : XXXX \_\_\_\_\_

Signature

**2nd Holder :**

Mr. / Mrs. \_\_\_\_\_

X \_\_\_\_\_

Address : \_\_\_\_\_

Signature

**3rd Holder :**

Mr. / Mrs. \_\_\_\_\_

X \_\_\_\_\_

Address : \_\_\_\_\_

Signature

Date : \_\_\_\_\_

Place : \_\_\_\_\_

**WITNESS**

I. Name XXXX \_\_\_\_\_

Address XXXX \_\_\_\_\_

Signature WITNESS SIGNATURE \_\_\_\_\_

**WITNESS**

I. Name XXXX \_\_\_\_\_

Address XXXX \_\_\_\_\_

Signature WITNESS SIGNATURE \_\_\_\_\_

Accepted For and on behalf of Monarch Network Capital Limited

( Authorised Signatories )



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**CLIENT MASTER - FOR USE BY MNCL**

**STRICTLY BRANCH REFERENCE**

Place \_\_\_\_\_

Date \_\_\_\_\_

Authorised Person / Business Associate sharing arrangement details and Sub-brokers (name & code)	
Signature	

**BROKERAGE DETAILS - Refer Page No. 8**

**STRICTLY HO REFERENCE**

Initial entries

CALL VERIFICATION	INWARD PARTICULARS	DATA ENTRY PARTICULARS	ACCOUNT ACTIVATION	BACK OFFICE MASTERS
Date _____	Date _____	Date _____	Code Allotted (all segments) _____	Date _____
Time _____	Time _____	Time _____	Date _____	Updated by _____
Sign _____		Sign _____		

**PROCEDURE CHECK LIST**

<input type="checkbox"/> Call verification of Client	<input type="checkbox"/> Mail sent to Client	<input type="checkbox"/> SMS sent to Client	<input type="checkbox"/> Welcome kit dispatch
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**Signature** of Back-office Incharge / Compliance Official \_\_\_\_\_

<b>Intimation</b> from Branch by		<b>Receipt mode</b>
<b>Document</b> received		
<b>Signature</b> of Back-office Incharge		Date _____

<b>Audited By</b>	<b>Original</b>	<b>Rejected Pursuant</b>
<b>Audit Date</b>		



# MONARCH

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## NETWORTH CAPITAL

*wealthcare redefined*

### **Monarch Network Capital Limited**

(SEBI Regi. No. INZ000008037)  
(Formerly Known as Network Stock Broking Limited)

**Corporate Office :**

Monarch House, Opp. Ishwar Bhuvan, Commerce Six Roads,  
Navrangpura, Ahmedabad-380014.  
T.: +91-22-26666500, 66000500

**Registered Office :**

901/902, 9th Floor, Atlanta Centre, Opp. Udyog Bhavan,  
Sonawala Road, Goregaon, Mumbai-400063.  
T.:+91-22-30641600

**E.: [reachus@mnclgroup.com](mailto:reachus@mnclgroup.com) | W.: [www.mnclgroup.com](http://www.mnclgroup.com)**