

**ANNEXURE O**  
**TRANSMISSION FORM**

Date

To,  
**DP Department**  
**Monarch Network Capital Limited**  
"MONARCH HOUSE",  
Opp. Ishwar Bhuvan, Commerce Six Road  
Navrangpura, Ahmedabad – 380014

**(1) I/We, the undersigned, being the**

Executor(s) of the Will	<input type="text"/>	Legal heir(s)	<input type="text"/>
Administrator(s) of the Estate	<input type="text"/>	Joint holder(s)	<input type="text"/>
Successor(s) to the Estate	<input type="text"/>	Nominee	<input type="text"/>
Survivors of HUF	<input type="text"/>		

of Mr./Mrs./Ms. \_\_\_\_\_, Mr./Mrs./Ms. \_\_\_\_\_ and Mr./Mrs./Ms. \_\_\_\_\_, the deceased, of which \*nomination / probate/ letter of administration / succession certificate was duly granted to me / us on the \_\_\_\_\_ day of \_\_\_\_\_ of \_\_\_\_\_ hereby request you to register me/us as the beneficial owner(s) in respect of the securities standing in the name of the said deceased under Client ID \_\_\_\_\_ DP ID \_\_\_\_\_.

**(2) I/We give hereunder the details of my/our account with a Participant to which the security balances are requested to be transmitted:**

Name	Client ID	DP ID

**(3) List of Documents enclosed (for Individual accounts) (tick as applicable):**

A For surviving holder(s) in a joint account

Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer.

B For nominee of the deceased:

- Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer.
- In case the account of the claimant is not with the Participant, copy of Client Master Report of the account of the nominee.

C For legal heir(s)/legal representative(s) where deceased was a sole holder and no nomination in the account - Value of holding not exceeding Rs.5 lakh on the date of application

- Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer
- In case the account of the claimant is not with the Participant, copy of Client Master Report of the account of the claimant.
- Indemnity (*format given at Annexure OC*)
- Affidavit (*format given at Annexure OD*)
- No objection certificate(s) (*format given at Annexure OE*)
- Family Settlement Deed [*as an alternate to No objection certificate(s)*]

D For legal heir(s)/Legal representative(s) where deceased was a sole holder and no nomination in the account - Value of holding was Rs. 5 lakh or more on the date of application

- Copy of death Certificate duly attested by a Notary Public or by a Gazetted Officer
- In case the account of the claimant is not with the Participant, copy of Client Master Report of the account of the claimant.
- Succession certificate
- Letter of Administration
- Probate of the Will

**(4) Declaration:**

*(Applicable only for legal heir(s)/legal representative(s) where deceased was a sole holder and no nomination in the account - Value of holding not exceeding Rs. 5 Lakh on the date of application)*

We declare that the below mentioned person(s) are the only legal heir(s) of the deceased and there are not other legal heir(s). Of these, as specified below, some/all of them are claimants and some of them have given a No Objection Certificate in favour of other legal heir(s). Details are given below:

Sr. No.	Name of the legal heir(s)	Specify whether a claimant or given a No Objection Certificate

**(5) List of Documents enclosed (for HUF accounts) (tick as applicable):**

**A** Value of holding not exceeding Rs.10 lakh on the date of application. [Where there is an objection from any member of HUF, documents mentioned at  **B** below must be submitted]

Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer.

Indemnity (*format given at Annexure OF*)

Affidavit (*format given at Annexure OG*)

**B** Value of holding was Rs. 10 lakh or more on the date of application.

Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer

Court Decree

Deed of Partition

**C** Separation/ partition of HUF:

Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer

Settlement Deed

Deed of Partition

Court Decree

**(6) Signatures:**

<b>Sr. No.</b>	<b>Name of claimant</b>	<b>Signature of claimant</b>

**Notes:**1. This request form should be signed by the surviving joint holder(s)/ legal heir(s)/ legal representative(s)/ nominee / all surviving members of the HUF, as the case may be.

2. \* Strike off whichever is not applicable.