

Demat Account opening Procedure under RGESS Scheme: (Rajiv Gandhi Equity Savings Scheme)

- Eligible New Investor only :
 - (a) Any individual who has not opened a Demat account and has not made any transactions in the derivative segment as on the date of notification of the Scheme;
 - (b) Any individual who has opened a Demat account before the notification of the Scheme but has not made any transactions in the equity segment or the derivative segment till the date of the Scheme;
 - (c) Not Demat Account opened in NSDL or CDSL DP.
- **Form-A** Require with Account Opening Form (As per attach)
- Demat Account Open with RGESS Scheme flag.
- If client is not eligible for RGESS, Depository (NSDL or CDSL) will directly remove RGESS flag in Client Demat Account. After deletion of RGESS flag by Depository Client Demat Account will be treat as a regular Demat Account.
- DP inform to Clients for Cancellation RGESS Scheme.

Procedure for RGESS investment:

- Investment through Exchanges.
- RGESS investment Stock receive only Pool Account.
- More than one transaction permitted in a financial year.
- Valuation provided by Exchanges
- Eligible Securities up to Rs. 50,000 kept under lock-in by Depository.
- Investment in other securities / in excess of Rs.50000 can be held in RGESS account - but not eligible for tax benefit.
- Eligible Securities.
 - a) Equity Shares in BSE-100 or CNX 100.
 - b) Equity Shares of Maharatna, Navratna, Miniratna.
 - c) Units of eligible ETFs or MFs.
 - d) Eligible FPOs & NFOs.
 - e) IPOs of eligible PSUs.

Form A

Declaration to be submitted by the investors to the depository participants for availing the benefits under the Rajiv Gandhi Equity Savings Scheme

(Name of the Investor, First holder)

(Address of the Investor)

Permanent Account Number (PAN):

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1. It is hereby certified that* ---

(a) I do not have a Demat account and I have not traded in any derivatives.

(b) I have Demat account no _____ in _____ Depository participant but I have not traded in any equity shares or derivatives in this account.

(c) I have a joint Demat account no _____ in _____ Depository participant but I am not the first account holder.

2. I hereby declare that I have read and understood all the terms and conditions of the Rajiv Gandhi Equity Savings Scheme.

3. It is hereby verified that I am an eligible new retail investor for availing the benefits under the Rajiv Gandhi Equity Savings Scheme.

4. I undertake to abide by all the requirements and fulfill all obligations under the Scheme, and will comply with all the terms and conditions of the Scheme.

5. I understand that, in case I fail to comply with any condition specified in the Scheme, the benefits availed there under will be withdrawn and the tax shall be payable by me accordingly.

✍

(Signature of the Investor)

Place:

Date:

* Tick whichever is appropriate.